

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.63(b))

Attorney Docket No.

2000 1451A

Total Pages :

First Named Inventor or Application Identifier

Hisashi INOUE et al.

Express Mail Label No.:

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents

Box Patent Application

Washington, D.C. 20231

1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification [Total Pages -56]  
(preferred arrangement set forth below)
- Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3. ☒ Drawing(s) (35 USC 113) [Total sheets -14]
4. ☒ Oath or Declaration [Total Pages - 3]
- a.1. ☒ Newly executed (original or copy)
- a.2. ☐ Unexecuted
- b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)  
[Note Box 5 below]
- i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference  
(usable if Box 4b is checked)  
The entire disclosure of the prior application, from which  
a copy of the oath or declaration is supplied under Box  
4b, is considered as being part of the disclosure of the  
accompanying application and is hereby incorporated by  
reference therein.

6. Microfiche Computer Program (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

8. ☒ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☒ Information Disclosure Statement (IDS)/PTO-1449  
☒ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
14. ☐ Small Entity Statement(s)  
☐ Statement filed in prior application, Status still proper and desired
15. ☒ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Other

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:
- ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior Application No.

**18. CORRESPONDENCE ADDRESS**

WENDEROTH, LIND & PONACK, L.L.P.  
2033 "K" Street, N.W.  
Suite 800  
Washington, D.C. 20006  
Phone:(202) 721-8200  
Fax:(202) 721-8250

November 21, 2000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :  
Hisashi INOUE et al. :  
Serial No. NEW : Attn: APPLICATION BRANCH  
Filed November 21, 2000 : Attorney Docket No. 2000\_1451A

APPARATUS AND METHOD FOR EMBEDDING  
INFORMATION FOR TAMPER DETECTION  
AND DETECTING TAMPER AND RECORDING  
MEDIUM HAVING PROGRAM FOR CARRYING  
OUT THE METHOD RECORDED THEREON

PATENT OFFICE FEE TRANSMITTAL FORM

Assistant Commissioner for Patents,  
Washington, DC 20231

Sir:

Attached hereto is a check in the amount of \$990.00 to cover Patent Office fees relating to filing the following attached papers:

New application ..... \$950.00  
Assignment for Recordal ..... \$ 40.00

A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

*The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.*

Respectfully submitted,

Hisashi INOUE et al.

By Charles R. Watts  
Charles R. Watts  
Registration No. 33,142  
Attorney for Applicants

CRW/asd  
WENDEROTH, LIND & PONACK, L.L.P.  
2033 K St., N.W., Suite 800  
Washington, D.C. 20006  
Telephone (202) 721-8200  
November 21, 2000

[Check No. 40830]  
2000\_1451A

## TITLE OF THE INVENTION

APPARATUS AND METHOD FOR EMBEDDING INFORMATION FOR TAMPER  
DETECTION AND DETECTING TAMPER AND RECORDING MEDIUM HAVING  
PROGRAM FOR CARRYING OUT THE METHOD RECORDED THEREON

5

## BACKGROUND OF THE INVENTION

### Field of the Invention

The present invention relates to an apparatus and a method  
for embedding information for tamper detection and detecting  
10 tamper, and a recording medium having a program for carrying out  
the method recorded thereon. More specifically, the present  
invention relates to an apparatus for embedding authentication  
data for tamper detection in a digital image signal, extracting  
embedded data therefrom to detect any partial change in the  
15 digital image and localizing its position, a method carried out  
by the apparatus, and a recording medium having a program for  
carrying out the method recorded thereon.

### Description of the Background Art

20 Recently, more and more information are available through  
the use of the Internet. Especially, WWW (WorldWideWeb) is being  
frequently used for transmitting and receiving information  
including images and audio. Under such network environment  
opened for everyone, however, an indefinite number of people can  
25 copy digital information such as an image without difficulty.

Further, the copied image can be easily edited or processed by image processing software available. Accordingly, there may be a case that a recipient of the digital image is not aware of any tamper therewith that may have been made by a third party during  
5 the transmission.

Therefore, immediate establishment is required of a technique capable of determining whether the transmitted digital image has been tampered with or not. An electronic authentication technique has been conventionally known as one of the solutions.

10 FIG. 14 is a diagram explaining an outline of the procedure of a conventional electronic authentication.

A transmitting side subjects an original digital image to data compression by a hash function to generate a digest of the digital image, and then encrypts the digest with a secret key  
15 predetermined by the transmitting side. The transmitting side transmits the original digital image and the encrypted digest to a receiving side over the network.

Similarly to the transmitting side, the receiving side first subjects the digital image received over the network to data  
20 compression by the hash function to generate a digest of the received digital image. The receiving side also decrypts the encrypted digest received over the network with a public key predetermined by the transmitting side. The receiving side compares the digest generated from the digital image with the  
25 decrypted digest. If the digests are identical with each other,

the receiving side determines that the digital image has not been tampered with, and if not identical, determines that the digital image has been tampered with (electronic authentication).

In the conventional electronic authentication, however,  
5 the transmitting side needs to transmit two types of data, i.e., the original digital image and the encrypted digest, to the receiving side. If there are many digital images, the transmitting side indispensably needs to manage which digest is for which digital image in order to correctly transmit such two  
10 types of data over the network.

To avoid such management in the electronic authentication, (i.e., to avoid transmission of two types of data), a digital watermarking technique has been conventionally applied. The digital watermarking is a technique for embedding digital  
15 information in digital image data in an insensible form for human being. A typical prior art which relates to the electronic authentication applying the digital watermarking technique is disclosed in, for example, the document "PROCEEDINGS OF THE IEEE, VOL. 87, NO. 7, JULY 1999, pp. 1167 to 1180".

20 Now, described is a case of applying the digital watermarking technique to the aforementioned electronic authentication using the digests.

The transmitting side generates a digest of a digital image only for a predetermined number of high-order bits of the digital  
25 image (pixels). Thereafter, the transmitting side encrypts the

digest with a secret key predetermined by a transmitting side, and embeds the encrypted digest in low-order bits of the digital image. The transmitting side then transmits the digest-embedded digital image to the receiving side over the network.

5           The receiving side extracts the encrypted digest embedded in the low-order bits of the digital image received over the network. The receiving side decrypts the extracted digest with a public key predetermined by the transmitting side. The receiving side also generates a digest, for verification, only  
10   for the predetermined number of high-order bits of the received digital image. The receiving side compares the generated digest with the decrypted digest. If the digests are identical with each other, the receiving side determines that the digital image has not been tampered with, and if not identical, determines that the  
15   digital image has been tampered with.

With the conventional digital watermarking technique, however, it is possible to know that the digital image has been changed during its transmission, but can not localize the position that has been changed.

20           Further, with the conventional digital watermarking technique, specific information is embedded utilizing high-frequency components which are normally insensible to human eyes. For this reason, if the digital image is subjected to irreversible image processing (compression and decompression) such as JPEG  
25   after being embedded with the information, such information is

varied, making it impossible to extract the information correctly. That means that distinction is not possible between the tamper intentionally made by an unauthorized person and the change unintentionally caused by the ordinary irreversible image processing.

Still further, the high frequency components normally corresponds to an edge and texture part of an image. Accordingly, if the image is much composed of a monotonous part (image with little contrast variation), the information is not evenly embedded in the image (screen). As a result, tamper with such monotonous part may not be detected.

#### SUMMARY OF THE INVENTION

Therefore, an object of the present invention is to provide an apparatus for embedding information for tamper detection and detecting tamper that embeds specific information not only in high frequency components but in entire image, i.e., in transform coefficients of relatively low frequency components, and later extracts the embedded information, a method carried out by such apparatus, and a recording medium having a program for carrying out the method recorded thereon. Therefore, it is possible to distinguish an intentional image tampering from a change unintentionally caused by irreversible image processing and further localize tampered position.

The present invention has the following features to achieve

the object above.

A first aspect of the present invention is directed to an apparatus for embedding predetermined information for tamper detection in a digital image signal, comprising:

5       a band division portion for dividing the digital image signal into a plurality of frequency bands;

          an authentication data generation portion for generating a pseudo-random number series by using predetermined key data, and generating authentication data from the pseudo-random number series;

10

          a key data embedding portion for embedding the key data in transform coefficients of a lowest frequency band (MRA) among the plurality of frequency bands;

          an authentication data embedding portion for embedding authentication data in transform coefficients of the frequency bands exclusive of MRA (MRR) among the plurality of frequency bands; and

15

          a band synthesis portion for reconstructing the digital image signal in which the information has been embedded by using MRA and MRR to which data embedding processing is subjected.

20

As described above, in the first aspect, a digital image signal is hierarchically divided into frequency bands, and then the authentication data is embedded in the transform coefficients of MRR. The authentication data is generated from a pseudo-random number series by using the key data, and the key data is embedded

25



in the transform coefficients of MRA. As such, the information is embedded in the transform coefficients of relatively low frequency components. Accordingly, if the image is subjected to an irreversible image processing, variation in the embedded key data and authentication data is small comparing to that caused by the intentional image tampering. It is therefore possible to distinguish between the changes caused by the irreversible image processing and the intentional image tampering in the tamper detecting apparatus. Further, in the first aspect, it is not easy for a third party to decipher the authentication data because he/she does not know specifics of frequency bands and transform coefficients in which the information is embedded, the order of reading the transform coefficients, and the key data, thereby preventing overwriting or replacement of the embedded information.

Herein, as a preferable method of embedding the authentication data,

a set value  $T$  ( $T$  is a positive integer) and a set value  $m$  ( $m$  is an integer not more than  $T$ ) are predetermined and  $q$  is predetermined as a value obtained by dividing a transform coefficient is divided by a predetermined quantization step size, and

the authentication data embedding portion embeds the authentication data in each transform coefficient of MRR by comparing an absolute value of the transform coefficient with the

set value  $T$ , and if the absolute value is less than the set value  $T$ , setting the transform coefficient to the set value  $+m$  or  $-m$  depending on a bit value of the authentication data to be embedded, and if the absolute value is not less than the set value  $T$ , setting  
5 the transform coefficient to an even or odd integer nearest to the value  $q$  depending on the bit value of the authentication data to be embedded.

In this manner, information can be embedded with high accuracy and with less deterioration in image quality.

10 A second aspect of the present invention is directed to an apparatus for detecting tamper with a digital image based on tamper-detection-information embedded by a specific apparatus in a digital image signal, comprising:

a band division portion for dividing the digital image  
15 signal into a plurality of frequency bands;

a key data extraction portion for extracting key data embedded by the specific apparatus from transform coefficients of MRA among the plurality of frequency bands;

an authentication data generation portion for generating  
20 a pseudo-random number series by using the key data, and generating authentication data from the pseudo-random number series;

an embedded information extraction portion for extracting  
embedded information embedded based on the key data by the  
25 specific apparatus from transform coefficients of MRR among the

plurality of frequency bands; and

a tamper determination portion for comparing the embedded information with the authentication data for verification and determining whether the digital image has been tampered with.

5 Further, the tamper determination portion preferably comprises:

a block division portion for dividing the digital image into a plurality of unit blocks each composed of a predetermined number of pixels;

10 a regional embedded information read portion for reading, for each of the unit blocks, embedded information embedded in the transform coefficients of MRR that represents the same spatial region as the unit block, serially from all of the embedded information extracted by the embedded information  
15 extraction portion;

a regional authentication data read portion for reading, for each of the unit blocks, authentication data corresponding in position to the embedded information serially read by the regional embedded information read portion, serially  
20 from all of the authentication data generated in the authentication data generation portion; and

a block-tamper determination portion for comparing a series of the embedded information serially read with a series of the authentication data serially read and determining, for each  
25 of the unit blocks, whether the digital image has been tampered

with.

As described above, in the second aspect, the digital image is divided into unit blocks each composed of a predetermined number of pixels. For each unit block, information embedded in the transform coefficients of MRR that represents the same spatial region as the unit block is read. The read information is compared, for verification, with the authentication data assumed to have been embedded in the tamper-detection-information embedding apparatus. In this way, the tampered position on the digital image can be localized on a regional basis of unit block. Further, in the tamper-detection-information embedding apparatus, the information is embedded in the transform coefficients of relatively low frequency components. Accordingly, if the image is subjected to an irreversible image processing, variation in the embedded key data and authentication data is small comparing to that caused by the intentional image tampering. It is therefore possible to distinguish between the changes caused by the irreversible image processing and the intentional image tampering.

Herein, as a preferable method of extracting the authentication data,

a set value  $T$  ( $T$  is a positive integer) is predetermined and  $q$  is predetermined as a value obtained by dividing a transform coefficient by a predetermined quantization step size and then rounding off the result, and

the embedded information extraction portion extracts the embedded information from each transform coefficient of MRR by comparing an absolute value of the transform coefficient with the set value T, and if the absolute value is less than the set value T, determining whether a value of the transform coefficient is positive or negative and extracting a bit value of embedded information embedded in the transform coefficient based on the determination, and if the absolute value is not less than the set value T, determining whether the value q is even or odd and extracting a bit value of embedded information embedded in the transform coefficient based on the determination.

In this manner, information can be extracted with high accuracy and with less deterioration in image quality.

A third aspect of the present invention is directed to a method of embedding predetermined information for tamper detection in a digital image signal, comprising:

a step of dividing the digital image signal into a plurality of frequency bands;

a step of generating a pseudo-random number series by using predetermined key data, and generating authentication data from the pseudo-random number series;

a step of embedding the key data in transform coefficients of MRA among the plurality of frequency bands;

a step of embedding the authentication data in transform coefficients of the frequency bands of MRR among the plurality

of frequency bands; and

a step of reconstructing the digital image signal in which the information has been embedded by using MRA and MRR to which data embedding processing is subjected.

5       As described above, in the third aspect, a digital image signal is hierarchically divided into frequency bands, and then the authentication data is embedded in the transform coefficients of MRR. The authentication data is generated from a pseudo-random number series by using the key data, and the key data is embedded  
10   in the transform coefficients of MRA. As such, the information is embedded in the transform coefficients of relatively low frequency components. Accordingly, if the image is subjected to an irreversible image processing, variation in the embedded key data and authentication data is small comparing to that caused  
15   by the intentional image tampering. It is therefore possible to distinguish between the intentional image tampering and the change unintentionally caused by irreversible image processing when any tamper is detected. Further, in the third aspect, it is not easy for a third party to decipher the authentication data  
20   because he/she does not know specifics of frequency bands and transform coefficients in which the information is embedded, the order of reading the transform coefficients, and the key data, thereby preventing overwriting or replacement of the embedded information.

25       Herein, as a preferable method of embedding authentication

data,

a set value  $T$  and a set value  $m$  are predetermined and  $q$  is predetermined as a value obtained by dividing a transform coefficient by a predetermined quantization step size, and

5 the step of embedding authentication data includes:

a step of comparing an absolute value of the transform coefficient with the set value  $T$ ;

a step of setting the transform coefficient to the set value  $+m$  or  $-m$  depending on a bit value of the authentication data  
10 to be embedded if the absolute value is less than the set value  $T$ ; and

a step of setting the transform coefficient to an even or odd integer nearest to the value  $q$  depending on the bit value of the authentication data to be embedded if the absolute value  
15 is not less than the set value  $T$ .

In this manner, information can be embedded with high accuracy and with less deterioration in image quality.

A fourth aspect of the present invention is directed to a method of detecting tamper with a digital image based on  
20 tamper-detection-information embedded by a specific apparatus in a digital image signal, comprising:

a step of dividing the digital image signal into a plurality of frequency bands;

a step of extracting key data embedded by the specific  
25 apparatus from transform coefficients of MRA among the plurality

of frequency bands;

a step of generating a pseudo-random number series by using the key data, and generating authentication data from the pseudo-random number series;

5 a step of extracting embedded information embedded based on the key data by the specific apparatus from transform coefficients of MRR among the plurality of frequency bands; and

a step of comparing the embedded information with the authentication data for verification and determining whether the  
10 digital image has been tampered with.

Further, the step of determining tamper preferably includes:

a step of dividing the digital image into a plurality of unit blocks each composed of a predetermined number of pixels;

15 a step of reading, for each of the unit blocks, embedded information embedded in MRR that represents the same spatial region as the unit block, serially from all of the embedded information;

a step of reading, for each of the unit blocks,  
20 authentication data corresponding in position to the embedded information serially read, serially from all of the authentication data; and

a step of comparing a series of the embedded information serially read with a series of the authentication data serially  
25 read and determining, for each of the unit blocks, whether the



digital image has been tampered with.

As described above, in the fourth aspect, the digital image is divided into unit blocks each composed of a predetermined number of pixels. For each unit block, information embedded in the transform coefficients of MRR that represents the same spatial region as the unit block is read. The read information is compared, for verification, with the authentication data assumed to have been embedded when embedding the information. In this way, the tampered position on the digital image can be localized on a regional basis of unit block. Further, when embedding the tamper-detection-information, the information is embedded in the transform coefficients of relatively low frequency components. Accordingly, if the image is subjected to an irreversible image processing, variation in the embedded key data and authentication data is small comparing to that caused by the intentional image tampering. It is therefore possible to distinguish between the changes caused by the irreversible image processing and the intentional image tampering.

Herein, as a preferable method of extracting the authentication data,

a set value  $T$  is predetermined and  $q$  is predetermined as a value obtained by dividing a transform coefficient by a predetermined quantization step size and then rounding off the result, and

the step of extracting embedded information includes:

a step of comparing an absolute value of the transform coefficient with the set value T;

a step of determining whether a value of the transform coefficient is positive or negative if the absolute value is less  
5 than the set value T, and extracting a bit value of embedded information embedded in the transform coefficient based on the determination;

a step of determining whether the value q is even or odd if the absolute value is not less than the set value T, and  
10 extracting a bit value of embedded information embedded in the transform coefficient based on the determination.

In this manner, information can be extracted with high accuracy and with less deterioration in image quality.

Furthermore, the tamper-detection-information  
15 embedding/tamper detecting method described in the above third and fourth aspects is typically realized by a computer device structured by a storage device (ROM, RAM, hard disk, etc.) storing predetermined program data capable of carrying out each of the above described steps and a CPU executing the program data. The  
20 program data may also be supplied to the computer device via a storage medium (CD-ROM, floppy disk, etc.).

These and other objects, features, aspects and advantages of the present invention will become more apparent from the following detailed description of the present invention when  
25 taken in conjunction with the accompanying drawings.

## BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a block diagram showing the structure of a tamper-detection-information embedding apparatus 1 according to one embodiment of the present invention.

5        FIG. 2 is a block diagram showing the structure of a tamper detecting apparatus 2 according to the embodiment of the present invention.

FIG. 3 is a flowchart showing the processing carried out by the tamper-detection-information embedding apparatus 1 in FIG. 1.

FIG. 4 is a block diagram exemplarily showing the structure of a band division portion 11 in FIG. 1.

FIG. 5 is a block diagram exemplarily showing the detailed structure of a first band dividing filter 100 in FIG. 4.

15        FIG. 6 is a diagram illustrating, in a two-dimensional frequency region, signals subjected to discrete wavelet transform.

FIG. 7 is a flowchart showing the processing carried out by an authentication data embedding portion 14 in FIG. 1.

20        FIG. 8 is a block diagram exemplarily showing the structure of a band synthesis portion 15 in FIG. 1.

FIG. 9 is a diagram exemplarily showing the structure of a first band synthesis filter 400 in FIG. 8.

FIG. 10 is a flowchart showing the processing carried out by the tamper detecting apparatus 2 in FIG. 2.

FIG. 11 is a flowchart exemplarily showing the processing carried out by an embedded information extraction portion 23 in FIG. 2.

FIG. 12 is a diagram schematically showing transform coefficients of LH3 and LH2 signals representing the same spatial region as a block of 32 by 32 pixel size.

FIG. 13 is a diagram exemplarily explaining a computation under XOR.

FIG. 14 is a diagram explaining an outline procedure of a conventional electronic authentication.

#### DESCRIPTION OF THE PREFERRED EMBODIMENTS

FIG. 1 is a block diagram showing the structure of an apparatus 1 for embedding information for tamper detection (hereinafter, referred to as tamper-detection-information embedding apparatus 1) according to one embodiment of the present invention. FIG. 2 is a block diagram showing the structure of a tamper detecting apparatus 2 according to the embodiment of the present invention.

In FIG. 1, the tamper-detection-information embedding apparatus 1 includes a band division portion 11, an authentication data generation portion 12, a key data embedding portion 13, an authentication data embedding portion 14, and a band synthesis portion 15. In FIG. 2, the tamper detecting apparatus 2 includes the band division portion 11, a key data extraction portion 21,

a key data determination portion 22, the authentication data generation portion 12, an embedded information extraction portion 23, a regional embedded information read portion 24, a regional authentication data read portion 25, and a block-tamper  
5 determination portion 26.

The band division portion 11 and the authentication data generation portion 12 of the tamper detecting apparatus 2 are structurally the same as those of the tamper-detection-information embedding apparatus 1, and therefore, are provided  
10 with the same reference numerals and description thereof is omitted.

Hereinafter, by further referring to FIGS. 3 to 13, described is the procedural flow of a method for detecting tamper with a digital image which is carried out by the tamper-  
15 detection-information embedding apparatus 1 and the tamper detecting apparatus 2 according to the embodiment.

First, by referring to FIGS. 1 and 3 to 9, a method of embedding information which is carried out by the tamper-detection-information embedding apparatus 1 is described.

20 FIG. 3 is a flowchart showing processing carried out by the tamper-detection-information embedding apparatus 1 of the embodiment.

Described by referring to FIGS. 4 to 6 is processing carried out by the band division portion 11 that subjects a digital image  
25 signal to conventional discrete wavelet transform to divide a band

of the signal into three hierarchies. FIG. 4 is a block diagram exemplarily showing the detailed structure of the band division portion 11 in FIG. 1. In FIG. 4, the band division portion 11 is provided with first to third band dividing filters 100, 200, and 300, all of which are identical in structure.

Each of the first to third band dividing filters 100 to 300 divides an incoming image signal into four frequency bands and obtains wavelet coefficients (hereinafter, referred to as transform coefficients) for every frequency band (step S301). Here, the transform coefficients may be equivalently obtained by sub-band division. The first band dividing filter 100 of the band division portion 11 receives a digital image signal 71.

The first band dividing filter 100 divides the digital image signal 71 into four signals varied in bands, i.e., a signal LL1, signal LH1, signal HL1, and signal HH1 (hereinafter, collectively referred to as first hierarchical signal), on the basis of parameters of horizontal and vertical frequency components. The second band dividing filter 200 receives only the signal LL1 which has the lowest band in the first hierarchical signal, and then again divides the signal into four signals varied in bands, i.e., a signal LL2, signal LH2, signal HL2, and signal HH2 (hereinafter, collectively referred to as second hierarchical signal). Then, the third band dividing filter 300 receives only the signal LL2 which has the lowest band in the second hierarchical signal, and again divides the signal into four signals varied in bands, i.e.,

a signal LL3, signal LH3, signal HL, and signal HH3 (hereinafter, collectively referred to as third hierarchical signal).

FIG. 5 is a block diagram exemplarily showing the structure of the first band dividing filter 100 in FIG. 4. In FIG. 5, the first band dividing filter 100 is provided with first to third two-band division portions 101 to 103. These first to third two-band division portions 101 to 103 are provided with one-dimensional low-pass filters (LPF) 111 to 113, one-dimensional high-pass filters (HPF) 121 to 123, and down-samplers 131 to 133 for LPFs and down-samplers 141 to 143 for HPFs for decimating the signal at a ratio of 2:1, respectively.

The first two-band division portion 101 receives the digital image signal 71, filters any horizontal component thereof through both the LPF 111 and HPF 121, and then generates two signals. Thereafter, the first two-band division portion 101 decimates the filtered signals at a ratio of 2:1, respectively, by using the down-samplers 131 and 141, and then outputs the signals to the next stage. The second two-band division portion 102 receives the signal from the down-sampler 131, and then filters any vertical component thereof through both the LPF 112 and HPF 122. Then, the second two-band division portion 102 decimates the filtered signals at a ratio of 2:1, respectively, by using the down-samplers 132 and 142, and then outputs two signals as signals LL1 and LH1. The third two-band division portion 103 receives the signal from the down-sampler 141, and then filters any

vertical component thereof through both the LPF 113 and HPF 123. Then, the third two-band division portion 103 decimates the signals at a ratio of 2:1, respectively, by using the down-samplers 133 and 143, and then outputs two signals as signals HL1 and HH1.

In this way, the first band dividing filter 100 outputs four signals, i.e., the signal LL1 low in both horizontal and vertical components, the signal LH1 low in horizontal but high in vertical, the signal HL1 high in horizontal but low in vertical, and the signal HH1 high in both. Here, from the four signals outputted, transform coefficients constituting each signal are obtained. The second and third band dividing filters 200 and 300 each carry out processing similar to the above for the incoming signals.

As a result of the band dividing processing carried out by the above described first to third band dividing filters 100 to 300, the digital image signal 71 is divided into 10 signals varied in bands, that is, the signals LL3, LH3, HL3, HH3, LH2, HL2, HH2, LH1, HL1, and HH1. FIG. 6 is a diagram illustrating these ten signals in a two-dimensional frequency region. Herein, the signal LL3 lowest in the frequency band is referred to as MRA (Multi-Resolution Approximation), and the other signals LH3, HL3, HH3, LH2, HL2, HH2, LH1, HL1, and HH1, are referred to as MRR (Multi-Resolution Representation).

In FIG. 6, the vertical axis represents a vertical frequency component, which becomes higher as is directed downward, and the



horizontal axis represents a horizontal frequency component, which becomes higher as is directed rightward.

Each divided area in FIG. 6 is representing data in one frame of image, and a ratio between area sizes is equivalent to that  
5 between the number of data in the band signals. In detail, in a case where the number of data in the signals LL3, LH3, HL3, and HH3 as the third hierarchical signal is "1", the number of data in the signals LH2, HL2, and HH2 as the second hierarchical signal is "4 (2 by 2 in size)", and the number of data in the signals  
10 LH1, HL1, and HH1 as the first hierarchical signal is "16 (4 by 4 in size)".

For example, if one piece of data shown at the top-left of the LL3 signal area is for a certain number of pixels on the original image, the same number of pixels is represented by one  
15 piece of data shown at the top-left of each of the LH3, HL3, and HH3 signal areas. Similarly, the same number of pixels is represented by four pieces of data shown at the top-left of each of the LH2, HL2, and HH2 signal areas, and by sixteen pieces of data in the LH1, HL2, and HH1 signal areas, respectively (square  
20 portion filled in black in each signal area in FIG. 6). Therefore, in the drawing, 64 pieces of pixel data (8 by 8 in size) on the digital image signal (shown at the top-left) represents the same spatial region as that represented by the transform coefficients which are shown as black squares in all frequency bands.

25           Next, the authentication data generation portion 12

generates a pseudo-random number series by using a predetermined key data, and then generates authentication data from the pseudo-random number series (step S302). To be specific, the authentication data generation portion 12 determines whether the  
5 each of the real numbers in the generated pseudo-random number series is positive or negative, and if positive, regards a bit value as "1" , and if negative, regards a bit value as "0" to generate the authentication data. For example, authentication data AD is generated from a pseudo-random number series PN in the  
10 following manner.

PN = {0.12, -0.23, -1.21, 0.23, 1.1, -0.34, 0.01, -0.51,..., -0.33}

AD = { 1, 0, 0, 1, 1, 0, 1, 0, ..., 0 }

The key data exemplarily includes information such as an initial value, a type of function for generation of a pseudo-  
15 random number series, and a bit length thereof. For simplification, in the present embodiment, assume that the key data is a value represented by the data length of 8-bit. Further, in the following explanation, it is assumed that the authentication data is a bit stream composed of binary bit values  
20 of "1" and "0".

The key data is essential information for data embedment, and is also used for data extraction in the tamper detecting apparatus 2. It is therefore requested to determine, in advance, the key data between the tamper-detection-information embedding  
25 apparatus 1 and the tamper detecting apparatus 2.

Next, the key data embedding portion 13 reads the transform coefficients of MRA in the image signal divided by the band division portion 11 in a predetermined order, and then embeds the key data in the transform coefficients with a predetermined method (step S303). Among various methods available for this embedment, the method disclosed in Japanese Patent Laid-Open Publication No. 11-196262 (1999/196262), which was proposed (applied) by the inventors of the present invention, achieves embedment with high accuracy and with less distortion in image quality. In the method,  $q$  is assumed to be a value obtained by dividing a transform coefficient by quantization step size  $Q$ , and is set to a nearest even or odd integer depending on a bit value of key data corresponding to the transform coefficient, thereby enabling the embedding of the key data.

Note that the predetermined order for reading the transform coefficients of MRA is essential information for data embedment, and is also used for key data extraction in the tamper detecting apparatus 2. Here, the key data may be converted to digital information being encrypted for embedment. Alternatively, it is possible to add an error correction code to the key data before embedment. Further, if the number of bits of embedding digital information is smaller than the number of transform coefficients of MRA, every bit of the digital information is once embedded, and then the same bits are embedded again starting from the first bit.

Next, processing carried out by the authentication data embedding portion 14 in FIG. 1 (step S304) is described by referring to FIG. 7, which is a flowchart exemplarily showing the processing.

5       The authentication data embedding portion 14 reads transform coefficients  $W_i$  of the signal LH3 in FIG. 4 in a predetermined order from MRR of the image signal divided in the band division portion 11 (step S701). The authentication data embedding portion 14 then determines whether or not an absolute  
10       value  $|W_i|$  of the read transform coefficient is not less than a predetermined set value  $T$  (step S702).

      If the absolute value  $|W_i|$  is less than the set value  $T$  in step S702, the authentication data embedding portion 14 sets the transform coefficient  $W_i$  to a predetermined value  $+m$  or  $-m$   
15       depending on a bit value of authentication data corresponding to the transform coefficient (step S703). Herein, the value  $m$  can be set at will as long as being not more than the set value  $T$ . The smaller the value  $m$  is, the better the deterioration in image quality becomes, but the less the protection against an external  
20       attack becomes. The larger the value  $m$  is, the better the protection against an external attack becomes, but the worse the deterioration in the image quality becomes due to the increase in amount of variation of transform coefficient. The value  $m$  thus may be appropriately set depending on the use of the apparatus  
25       and the level of the digital signal treated thereby. On the other

hand, if the absolute value  $|W_i|$  is not less than the set value  $T$  in step S702, the authentication data embedding portion 14 sets, similarly to the key data embedding portion 13,  $q$  to a nearest even or odd integer depending on the bit value of authentication data corresponding to the transform coefficient (step S704). Here,  $q$  is assumed to be a value obtained by dividing a transform coefficient by quantization step size  $Q$ .

In this way, bit values of the authentication data are embedded in the transform coefficients  $W_i$ , and thereby data-embedded transform coefficients  $W_i'$  are generated.

After completing the above processing for the signal LH3, the authentication data embedding portion 14 reads the transform coefficients  $W_i$  of the signal LH2 in a predetermined order, and then repeats the processing in steps S701 to S704.

Note that, although the processing is carried out first for the transform coefficients of the signal LH3 and then for the signal LH2 in this example, the order may be inverted. Further, the authentication data is not necessarily embedded in all transform coefficients  $W_i$  of the signals LH3 and LH2, but may be embedded alternately in vertical and horizontal directions (refer explanation for the tamper detecting apparatus 2, which will be described later). In this manner, the deterioration in image quality caused by information embedment can be reduced.

The order in which the signals LH2 and LH3 are treated and the predetermined order for reading the transform coefficients

thereof are essential information for data embedment, and are also used for data extraction in the tamper detecting apparatus 2. Further, the set value T used for comparison may be set differently for the transform coefficients of the signal LH2 and the signal LH3. The set value T for the signal LH3 is preferably set smaller than that for the signal LH2. For example, the set value T is predetermined as "7" for the signal LH3 signal and as "10" for the LH2 signal, and the value m is predetermined as "2".

Next, by referring to FIGS. 8 and 9, processing carried out by the band synthesis portion 15 is described.

FIG. 8 is a block diagram exemplarily showing the structure of the band synthesis portion 15 in FIG. 1. In FIG. 8, the band synthesis portion 15 is provided with first to third band synthesis filters 400, 500, and 600, all of which are identical in structure.

These first to third band synthesis filters 400, 500, and 600 each receive the four signals varied in frequency bands, and then synthesizes the signals to output as one signal (step S305). The first band synthesis filter 400 receives the signals LL3, LH3, HL3, and HH3, and then synthesizes these signals to generate the signal LL2. The second band synthesis filter 500 receives the signals LL2, LH2, HL2, and HH2, and then synthesizes these signals to generate the signal LL1. Thereafter, the third band synthesis filter 600 receives the signals LL1, LH1, HL1, and HH1, and then synthesizes these signals to reconstruct a digital image signal

72.

FIG. 9 is a block diagram exemplarily showing the structure of the first band synthesis filter 400 in FIG. 8. In FIG. 9, the first band synthesis filter 400 is provided with first to third two-band synthesis portions 401 to 403. These first to third two-band synthesis portions 401 to 403 are provided with LPFs 411 to 413, HPFs 421 to 423, and up-samplers 431 to 433 for LPFs and up-samplers 441 to 443 for HPFs for inserting zero to the signal at a ratio of 2:1, and adders 451 to 453, respectively.

10       The first two-band synthesis portion 401 receives the signals LL3 and LH3, and then converts the signals into signals twice in magnitude by using the up-samplers 431 and 441, respectively. Then, the vertical component of the converted two signals is filtered through the LPF 411 and HPF 421, respectively,  
15       and then the filtered two signals are added for output. The second band synthesis portion 402 receives the signals HL3 and HH3, and then converts the signals into signals twice in magnitude by using the up-samplers 432 and 442, respectively. Thereafter, the vertical component of the converted two signals is filtered  
20       through the LPF 412 and HPF 422, respectively, and then the filtered two signals are added together for output. The third two-band synthesis portion 403 receives outputs from the adders 451 and 452, and then respectively converts the outputs into signals twice in magnitude by using the up-samplers 433 and 443.  
25       Then, horizontal component of the converted two signals is

filtered through the LPH 413 and HPF 423, respectively and then the filtered two signals are added together for output.

Accordingly, the first band synthesis filter 400 outputs the signal LL2 low in both horizontal and vertical components, that is, the second hierarchical signal. The second and third band synthesis filters 500 and 600 each carry out processing similar to the above for the incoming signals. In this way, the band synthesis portion 15 reconstructs 10 frequency band signals LL3, LH3, HL3, HH3, LH2, HL2, HH2, LH1, HL1, and HH1 to the digital image signal 72 to which embedment has been done.

Next, by referring to FIGS. 2 and 10 to 13, described is a method of tamper detection which is carried out by the tamper detecting apparatus 2 according to the embodiment.

FIG. 10 is a flowchart showing processing carried out by the tamper detecting apparatus 2 of the embodiment.

In FIG. 2, the band division portion 11 receives a digital image signal 73. The digital image signal 72 outputted from the band synthesis portion 15 of the tamper-detection-information embedding apparatus 1 becomes the digital image signal 73 due to compression/decompression or tampering during its transmission. The band division portion 11 subjects the received digital image signal 73 to discrete wavelet transform to divide the same into 10 signals varied in frequency bands, i.e., signals LL3, LH3, HL3, HH3, LH2, HL2, HH2, LH1, HL1, and HH1, and obtains transform coefficients for every signal (step S1001).



Next, the key data extraction portion 21 reads the transform coefficients of MRA in the image signal 73 divided by the band division portion 11 in the same order in which the transform coefficients are read by the key data embedding portion 13 of the tamper-detection-information embedding apparatus 1, and then extracts the key data embedded in the signal (step S1002). Among various method available for this extraction, the method disclosed in the above described publication applied by the inventors of the present invention achieves extraction with high accuracy and with less distortion in image quality. In the method,  $q$  is assumed to be a value obtained by dividing a transform coefficient by quantization step size  $Q$  and then rounding off the result. A bit value of the embedded key data is extracted based on the determination whether  $q$  is an odd or even number.

The key data determination portion 22 determines, for verification, whether or not the key data extracted by the key data extraction portion 21 is identical to the key data used by the tamper-detection-information embedding apparatus 1 (step S1003). The determination is carried out by comparing the key data extracted in the key data extraction portion 21 with the key data previously held therein as that used in the tamper-detection-information embedding apparatus 1. Herein, if a plurality of key data is used by the tamper-detection-information embedding apparatus 1, the key data determination portion 22 correspondingly holds the same data for comparison.

In step S1003, if the extracted key data is determined as identical to the key data previously held, the key data determination portion 22 carries out processing from step S1004 and onwards. On the other hand, if the extracted key data is  
5 determined as not identical, the key data determination portion 22 determines that the digital image signal 73 has been tampered with (step S1011).

The key data determination portion 22 is not an indispensable component to the tamper detecting apparatus 2. In  
10 the present invention, however, such determination for verifying the key data improves reliability of the tamper detecting apparatus 2 in detection of tamper with the digital image. The key data determination portion 22 is therefore preferably used in view of making the tamper detecting apparatus 2 more preferable  
15 in practical use.

The authentication data generation portion 12 generates, in the above described manner, a pseudo-random number series by using the key data previously held therein as the key data used by the tamper-detection-information embedding apparatus 1.  
20 The key data includes information such as an initial value, a type of function for generation of the pseudo-random number series, and a bit length thereof. The authentication data generation portion 12 then generates authentication data K from the pseudo-random number series (step S1004).

25 Next, processing carried out by the embedded information

extraction portion 23 in FIG. 2 (step S1005) is described by referring to FIG. 11, which is a flowchart exemplarily showing the processing.

The embedded information extraction portion 23 reads the  
5 transform coefficients  $W_i$  of the signals LH3 and LH2 from MRR of the digital image signal 73 divided in the band division portion 11. Here, the transform coefficients  $W_i$  are read in the same order in which those are read by the authentication data embedding portion 14 of the tamper-detection-information embedding  
10 apparatus 1. To be specific, the transform coefficients  $W_i$  are read in the order of signal LH3 and then the signal LH2, and also in the predetermined order within each signal (step S1101). The embedded information extraction portion 23 then determines whether or not the absolute value  $|W_i|$  of the read transform  
15 coefficient is not less than the predetermined set value  $T$  (step S1102).

If the absolute value  $|W_i|$  is less than the set value  $T$  in step S1102, the embedded information extraction portion 23 further determines whether the value of the transform coefficient  
20  $W_i$  is positive or negative. Based on the determination, the embedded information extraction portion 23 extracts a bit value of the information embedded in each transform coefficient (step S1103). On the other hand, if the absolute value  $|W_i|$  is not less than the set value  $T$  in step S1102, the embedded information  
25 extraction portion 23 extracts a bit value of the information

embedded in each coefficient with the similar method carried out by the key data extraction portion 21 (step S1104). In the method,  $q$  is assumed to be a value obtained by dividing a transform coefficient by quantization step size  $Q$  and then rounding off the result. A bit value of the information embedded in each transform

5 coefficient is extracted based on the determination whether  $q$  is an odd number or even number.

By completing the above processing, the embedded information extraction portion 23 extracts embedded information

10  $D$  which is composed of the information embedded in each transform coefficient (step S1005). The embedded information  $D$  corresponds to the authentication data assumed to have been embedded in the tamper-detection-information embedding apparatus 1.

15 Next, by referring to FIG. 12, processing carried out by the regional embedded information read portion 24 and the regional authentication data read portion 25 is described. In FIG. 12, the top-left dotted area shows a block serving as a unit for determining whether or not any tamper exists in the digital image

20 signal 73 (hereinafter, referred to as unit block). Here, the size of the unit block is exemplarily 32 by 32 pixels. Further, in FIG. 12, with respect to the signals LH3 and LH2, transform coefficients representing the same spatial region as the unit block are shown in the frames drawn with the thick line. As is

25 evident from the drawing, if the size of the unit block is 32 by

32 pixels for the digital image signal 73, corresponding block sizes for the signals LH3 and LH2 are 4 by 4 pixels and 8 by 8 pixels, respectively.

Note that the unit block size herein (32 by 32 pixels) is one example, and can be arbitrarily set depending on how small or large a unit (region) for determining the tamper is.

Now, the description is exemplarily made for a case where the transform coefficients of the signals LH3 and LH2 are read alternately in vertical and horizontal directions. In this case, information has been embedded in the same manner by the tamper-detection-information embedding apparatus 1. In this example, embedded information corresponding to the unit block of 32 by 32 pixels is 4 pieces of data in the signal LH3 and 16 pieces of data in the signal LH2 (filled-in-black portions in FIG. 12).

Accordingly, the regional embedded information read portion 24 reads such 20 pieces of information from the embedded information D extracted in the embedded information extraction portion 23 as embedded information BD corresponding to the unit block of 32 by 32 pixels (step S1006).

Similarly, the regional authentication data read portion 25 reads 20 pieces of authentication data corresponding in position to the embedded information BD from the authentication data K generated in the authentication data generation portion 12 as authentication data BK corresponding to the unit block of 32 by 32 pixels (step S1007).

Assume, for example, that the embedded information BD and the authentication data BK respectively read by the regional embedded information read portion 24 and the regional authentication data read portion 25 are

5    BD = {1, 0, 1, 1, 1, 0, 0, 1, 1, 0, 0, 1, 1, 1, 0, 1, 0, 1, 0, 1}  
     BK = {1, 1, 1, 0, 1, 0, 0, 1, 1, 0, 0, 0, 1, 0, 0, 1, 0, 1, 0, 1}

Here, in comparison between both, the second, fourth, twelfth, and fourteenth bits differ in value from each other.

Next, in the block-tamper determination portion 26, the  
10 bits at the same positional order in the embedded information BD and the authentication data BK are XORed, and then a sum total S of the XORed values is computed (step S1008). FIG. 13 is a diagram exemplarily showing a computation under the XOR. The result of the computation becomes "1" when both bits differ in  
15 value from each other and becomes "0" when both are the same in value. The block-tamper determination part 26 compares the total sum S with a predetermined set value BT so as to determine whether a partial image corresponding to the unit block (in the example, 32 by 32 pixels) has been tampered with or not (step S1009).

20        For example, the block-tamper determination portion 26 determines that the image has been tampered with if the total sum S is not less than the set value BT, and has not been tampered with if the total sum S is less than the set value BT. The set value BT can be predetermined at will depending on how strictly  
25 the tamper determination is made. In such manner, the intentional

image tampering and the change caused by the irreversible image processing can be distinguished from each other.

In the above exemplified embedded information BD and the authentication data BK, the bit values differ from each other in the four positions. As a result, the total sum S computed is "4". If the set value BT is predetermined as "3", the total sum S is not less than the set value BT. Accordingly, the unit block is determined to have been tampered with.

The XOR computing carried out in the block-tamper determination portion 26 may take a reverse logic. That is, "0" is computed when both bits differ in value from each other and "1" when both are the same in value. In this case, the block-tamper determination portion 26 determines that the block has been tampered with if the total sum S is less than the set value BT, and not have been tampered with if not less. Instead of the total sum S of the XORED values, the number of or an inner product of bits that coincide with each other between the embedded information BD and the authentication data BK may be computed. Further alternatively, it is possible to substitute "-1" for "0" in the embedded information BD and the authentication data BK, and then compute an inner product thereof.

Based on the determination in step S1009, the tamper detecting apparatus 2 stores in memory (not shown) or outputs to a display (not shown) either information that the unit block (within 32 by 32 pixels) has been tampered with or has not been

tampered with (steps S1010, S1011).

The tamper detecting apparatus 2 repeats the processing in steps S1006 to S1009 for all unit blocks to detect the position of the tampered portion on the digital image.

5       As described above, according to the embodiment of the present invention, in the tamper-detection-information embedding apparatus 1, a digital image signal is hierarchically divided into three bands, and then the authentication data is embedded in the transform coefficients of the signals LH3 and LH2  
10   of MRR. The authentication data is generated from a pseudo-random number series by using the key data, and the key data is embedded in the transform coefficients of MRA. In the tamper detecting apparatus 2, the digital image is divided into unit blocks each composed of a predetermined number of pixels. For each unit block,  
15   information embedded in the transform coefficients of MRR that represents the same spatial region as the unit block is read. The read information is compared, for verification, with the authentication data assumed to have been embedded in the tamper-detection-information embedding apparatus 1.

20       In this way, the tamper detecting apparatus 2 can localize the tampered position on the digital image on a regional basis of unit block. Further, in the tamper-detection-information embedding apparatus 1, the information is embedded in the transform coefficients of relatively low frequency components.  
25   Accordingly, even if the irreversible image processing is



performed, variation in the embedded key data and authentication data is small comparing to that caused by the intentional image tampering. It is therefore possible to distinguish between the changes caused by the irreversible image processing and the intentional image tampering. Still further, according to a tamper-detection-information embedding method and a tamper detecting method of the present invention, it is not easy for a third party to decipher the authentication data because he/she does not know specifics of frequency bands and transform coefficients in which the information is embedded, the order of reading the transform coefficients, and the key data, thereby preventing overwriting or replacement of the embedded information.

The number of hierarchies obtained by discrete wavelet transform carried out in the band division portion 11 of the embodiment is not limited to three. The signal can be divided into more hierarchies until the signal LL reaches a 1 by 1 element. Further, bands for embedding the authentication data is not limited to the signals LH3 and LH2, but may be other bands among MRR or all bands of MRR. Even in these cases, the order in which the bands are subjected to processing should be predetermined.

Note, however, the authentication data is preferably embedded only in the transform coefficients of the signals in deeper hierarchies in order to make the most of the usable effects of the present invention. Specifically in FIG. 4, it is most

preferable to embed the authentication data in some or all of the signals LH3 and HL3 as being the third hierarchical signal and/or the signals LH2 and HL2 as being the second hierarchical signal.

Further, in the above described embodiment, the authentication data embedding portion 14 sequentially embeds the authentication data in each of the transform coefficients read in a predetermined order. For all transform coefficients of MRR that represents the same spatial region as the unit block, however, the same authentication data may be repeatedly embedded .

Still further, if the key data is embedded after encryption using a public key or common key in the tamper-detection-information embedding apparatus 1 of the above described embodiment and the information encrypted and embedded is decrypted in the tamper detecting apparatus 2, the public key or common key to be used should be predetermined between the apparatuses.

The features achieved by the tamper-detection-information embedding apparatus 1 and the tamper detecting apparatus 2 of the above described embodiment are typically realized by a storage device (ROM, RAM, hard disk, etc.) storing predetermined program data and a CPU executing the program data. The program data may also be supplied via a storage medium (CD-ROM, floppy disk, etc.) .

While the invention has been described in detail, the foregoing description is in all aspects illustrative and not restrictive. It is understood that numerous other modifications

and variations can be devised without departing from the scope  
of the invention.

WHAT IS CLAIMED IS:

1. A tamper-detection-information embedding apparatus for embedding predetermined information for tamper detection in a digital image signal, comprising:

band division means for dividing said digital image signal  
5 into a plurality of frequency bands;

authentication data generation means for generating a pseudo-random number series by using predetermined key data, and generating authentication data from the pseudo-random number series;

10 key data embedding means for embedding said key data in transform coefficients of a lowest frequency band (hereinafter, referred to as MRA) among said plurality of frequency bands;

authentication data embedding means for embedding said authentication data in transform coefficients of the frequency  
15 bands exclusive of said MRA (hereinafter, referred to as MRR) among said plurality of frequency bands; and

band synthesis means for reconstructing the digital image signal in which the information has been embedded by using said MRA and said MRR to which data embedding processing is subjected.

2. The tamper-detection-information embedding apparatus according to claim 1, wherein

a set value  $T$  ( $T$  is a positive integer) and a set value  $m$

( $m$  is an integer not more than  $T$ ) are predetermined and  $q$  is  
5 predetermined as a value obtained by dividing a transform  
coefficient by a predetermined quantization step size, and

said authentication data embedding means embeds said  
authentication data in each transform coefficient of said MRR by  
comparing an absolute value of said transform coefficient with  
10 said set value  $T$ , and if the absolute value is less than said set  
value  $T$ , setting the transform coefficient to said set value  $+m$   
or  $-m$  depending on a bit value of said authentication data to be  
embedded, and if the absolute value is not less than said set value  
 $T$ , setting the transform coefficient to an even or odd integer  
15 nearest to said value  $q$  depending on the bit value of said  
authentication data to be embedded.

3. A tamper detecting apparatus for detecting tamper  
with a digital image based on tamper-detection-information  
embedded by a specific apparatus in a digital image signal,  
comprising:

5 band division means for dividing said digital image signal  
into a plurality of frequency bands;

key data extraction means for extracting key data embedded  
by said specific apparatus from transform coefficients of a lowest  
frequency band (hereinafter, referred to as MRA) among said  
10 plurality of frequency bands;

authentication data generation means for generating a

pseudo-random number series by using said key data, and generating authentication data from the pseudo-random number series;

embedded information extraction means for extracting  
15 embedded information embedded based on said key data by said specific apparatus from transform coefficients of the frequency bands exclusive of said MRA (hereinafter, referred to as MRR) among said plurality of frequency bands; and

tamper determination means for comparing said embedded  
20 information with said authentication data for verification and determining whether said digital image has been tampered with.

4. The tamper detecting apparatus according to claim 3, wherein

said tamper determination means comprises:

block division means for dividing the digital image  
5 into a plurality of unit blocks each composed of a predetermined number of pixels;

regional embedded information read means for reading,  
for each of said unit blocks, embedded information embedded in the transform coefficients of said MRR that represents the same  
10 spatial region as the unit block, serially from all of said embedded information extracted by said embedded information extraction means;

regional authentication data read means for reading,  
for each of said unit blocks, authentication data corresponding

15 in position to said embedded information serially read by said regional embedded information read means, serially from all of said authentication data generated by said authentication data generation means; and

block-tamper determination means for comparing  
20 said embedded information serially read with said authentication data serially read and determining, for each of said unit blocks, whether said digital image has been tampered with.

5. The tamper detecting apparatus according to claim 3, wherein

a set value  $T$  ( $T$  is a positive integer) is predetermined and  $q$  is predetermined as a value obtained by dividing a transform  
5 coefficient is by a predetermined quantization step size and then rounding off the result, and

said embedded information extraction means extracts said embedded information from each transform coefficient of said MRR by comparing an absolute value of said transform coefficient with  
10 said set value  $T$ , and if the absolute value is less than said set value  $T$ , determining whether a value of the transform coefficient is positive or negative and extracting a bit value of embedded information embedded in the transform coefficient based on the determination, and if the absolute value is not less than said  
15 set value  $T$ , determining whether said value  $q$  is even or odd and extracting a bit value of embedded information embedded in the

transform coefficient based on the determination.

6. The tamper detecting apparatus according to claim 4, wherein

a set value T (T is a positive integer) is predetermined and q is predetermined as a value obtained by dividing a transform  
5 coefficient by a predetermined quantization step size and then rounding off the result, and

said embedded information extraction means extracts said embedded information from each transform coefficient of said MRR by comparing an absolute value of said transform coefficient with  
10 said set value T, and if the absolute value is less than said set value T, determining whether a value of the transform coefficient is positive or negative and extracting a bit value of embedded information embedded in the transform coefficient based on the determination, and if the absolute value is not less than said  
15 set value T, determining whether said value q is even or odd and extracting a bit value of embedded information embedded in the transform coefficient based on the determination.

7. A tamper-detection-information embedding method of embedding predetermined information for tamper detection in a digital image signal, comprising:

a step of dividing said digital image signal into a  
5 plurality of frequency bands;



a step of generating a pseudo-random number series by using predetermined key data, and generating authentication data from the pseudo-random number series;

a step of embedding said key data in transform coefficients  
10 of a lowest frequency band (hereinafter, referred to as MRA) among said plurality of frequency bands;

a step of embedding said authentication data in transform coefficients of the frequency bands exclusive of said MRA (hereinafter, referred to as MRR) among said plurality of  
15 frequency bands; and

a step of reconstructing the digital image signal in which the information has been embedded by using said MRA and said MRR to which data embedding processing is subjected.

8. The tamper-detection-information embedding method according to claim 7, wherein

a set value  $T$  ( $T$  is a positive integer) and a set value  $m$  ( $m$  is an integer not more than  $T$ ) are predetermined and  $q$  is  
5 predetermined as a value obtained by dividing a transform coefficient by a predetermined quantization step size, and

said step of embedding authentication data includes:

a step of comparing an absolute value of said transform coefficient with said set value  $T$ ;

10 a step of setting the transform coefficient to said set value  $+m$  or  $-m$  depending on a bit value of said authentication

data to be embedded if the absolute value is less than said set value T; and

15 a step of setting the transform coefficient to an even or odd integer nearest to said value q depending on the bit value of said authentication data to be embedded if the absolute value is not less than said set value T.

9. A tamper detecting method of detecting tamper with a digital image based on tamper-detection-information embedded by a specific apparatus in a digital image signal, comprising:

5 a step of dividing said digital image signal into a plurality of frequency bands;

a step of extracting key data embedded by said specific apparatus from transform coefficients of a lowest frequency band (hereinafter, referred to as MRA) among said plurality of frequency bands;

10 a step of generating a pseudo-random number series by using said key data, and generating authentication data from the pseudo-random number series;

15 a step of extracting embedded information embedded based on said key data by said specific apparatus from transform coefficients of the frequency bands exclusive of said MRA (hereinafter, referred to as MRR) among said plurality of frequency bands; and

a step of comparing said embedded information with said

authentication data for verification and determining whether said  
20 digital image has been tampered with.

10. The tamper detecting method according to claim 9,  
wherein

said step of determining tamper comprises:

a step of dividing the digital image into a plurality of  
5 unit blocks each composed of a predetermined number of pixels;

a step of reading, for each of said unit blocks, embedded  
information embedded in the transform coefficients of said MRR  
that represents the same spatial region as the unit block,  
serially from all of said embedded information;

10 a step of reading, for each of said unit blocks,  
authentication data corresponding in position to said embedded  
information serially read, serially from all of said  
authentication data; and

a step of comparing a series of said embedded information  
15 serially read with a series of said authentication data serially  
read and determining, for each of said unit blocks, whether said  
digital image has been tampered with.

11. The tamper detecting method according to claim 9,  
wherein

a set value  $T$  ( $T$  is a positive integer) is predetermined  
and  $q$  is predetermined as a value obtained by dividing a transform

5 coefficient by a predetermined quantization step size and then rounding off the result, and

said step of extracting embedded information includes:

a step of comparing an absolute value of said transform coefficient with said set value T;

10 a step of determining whether a value of the transform coefficient is positive or negative if the absolute value is less than said set value T, and extracting a bit value of embedded information embedded in the transform coefficient based on the determination;

15 a step of determining whether said value q is even or odd if the absolute value is not less than said set value T, and extracting a bit value of embedded information embedded in the transform coefficient based on the determination.

12. The tamper detecting method according to claim 10, wherein

a set value T (T is a positive integer) is predetermined and q is predetermined as a value obtained by dividing a transform  
5 coefficient by a predetermined quantization step size and then rounding off the result, and

said step of extracting embedded information includes:

a step of comparing an absolute value of said transform coefficient with said set value T;

10 a step of determining whether a value of the transform

coefficient is positive or negative if the absolute value is less than said set value T, and extracting a bit value of embedded information embedded in the transform coefficient based on the determination;

- 15           a step of determining whether said value q is even or odd if the absolute value is not less than said set value T, and extracting a bit value of embedded information embedded in the transform coefficient based on the determination.

13.   A recording medium on which a program to be run on a computer device is recorded for carrying out a tamper-detection-information embedding method of embedding predetermined information for tamper detection in a digital image  
5   signal, the method comprising the steps of:

dividing said digital image signal into a plurality of frequency bands;

- generating a pseudo-random number series by using predetermined key data, and generating authentication data from  
10   the pseudo-random number series;

embedding said key data in transform coefficients of a lowest frequency band (hereinafter, referred to as MRA) among said plurality of frequency bands;

- embedding said authentication data in transform  
15   coefficients of the frequency bands exclusive of said MRA (hereinafter, referred to as MRR) among said plurality of

frequency bands; and

reconstructing the digital image signal in which the information has been embedded by using said MRA and said MRR to  
20 which data embedding processing is subjected.

14. The recording medium according to claim 13, wherein a set value  $T$  ( $T$  is a positive integer) and a set value  $m$  ( $m$  is an integer not more than  $T$ ) are predetermined and  $q$  is predetermined as a value obtained by dividing a transform  
5 coefficient by a predetermined quantization step size, and

said step of embedding authentication data includes the steps of:

comparing an absolute value of said transform coefficient with said set value  $T$ ;

10 setting the transform coefficient to said set value  $+m$  or  $-m$  depending on a bit value of said authentication data to be embedded if the absolute value is less than said set value  $T$ ; and

setting the transform coefficient to an even or odd integer nearest to said value  $q$  depending on the bit value of said  
15 authentication data to be embedded if the absolute value is not less than said set value  $T$ .

15. A recording medium on which a program to be run on a computer device is recorded for carrying out a tamper detecting method of detecting tamper with a digital image based on

tamper-detection-information embedded by a specific apparatus in  
5 a digital image signal, the method comprising the steps of:

dividing said digital image signal into a plurality of  
frequency bands;

extracting key data embedded by said specific apparatus  
from transform coefficients of a lowest frequency band  
10 (hereinafter, referred to as MRA) among said plurality of  
frequency bands;

generating a pseudo-random number series by using said key  
data, and generating authentication data from the pseudo-random  
number series;

15 extracting embedded information embedded based on said key  
data by said specific apparatus from transform coefficients of  
the frequency bands exclusive of said MRA (hereinafter, referred  
to as MRR) among said plurality of frequency bands; and

comparing said embedded information with said  
20 authentication data for verification and determining whether said  
digital image has been tampered with.

16. The recording medium according to claim 15, wherein  
said step of determining tamper comprises the steps of:

dividing the digital image into a plurality of unit blocks  
each composed of a predetermined number of pixels;

5 reading, for each of said unit blocks, embedded  
information embedded in the transform coefficients of said MRR

that represents the same spatial region as the unit block,  
serially from all of said embedded information;

reading, for each of said unit blocks, authentication data  
10 corresponding in position to said embedded information serially  
read, serially from all of said authentication data; and

comparing a series of said embedded information serially  
read with a series of said authentication data serially read and  
determining, for each of said unit blocks, whether said digital  
15 image has been tampered with.

17. The recording medium according to claim 15, wherein  
a set value  $T$  ( $T$  is a positive integer) is predetermined  
and  $q$  is predetermined as a value obtained by dividing a transform  
coefficient is divided by a predetermined quantization step size  
5 and then rounding off the result, and

said step of extracting embedded information includes the  
steps of:

comparing an absolute value of said transform coefficient  
with said set value  $T$ ;

10 determining whether a value of the transform coefficient  
is positive or negative if the absolute value is less than said  
set value  $T$ , and extracting a bit value of embedded information  
embedded in the transform coefficient based on the determination;

determining whether said value  $q$  is even or odd if the  
15 absolute value is not less than said set value  $T$ , and extracting



a bit value of embedded information embedded in the transform coefficient based on the determination.

18. The recording medium according to claim 16, wherein  
a set value  $T$  ( $T$  is a positive integer) is predetermined  
and  $q$  is predetermined as a value obtained by dividing a transform  
coefficient by a predetermined quantization step size and then  
5 rounding off the result, and

said step of extracting embedded information includes the  
steps of:

comparing an absolute value of said transform coefficient  
with said set value  $T$ ;

10 determining whether a value of the transform coefficient  
is positive or negative if the absolute value is less than said  
set value  $T$ , and extracting a bit value of embedded information  
embedded in the transform coefficient based on the determination;

determining whether said value  $q$  is even or odd if the  
15 absolute value is not less than said set value  $T$ , and extracting  
a bit value of embedded information embedded in the transform  
coefficient based on the determination.

## ABSTRACT OF THE DISCLOSURE

An object of the present invention is to provide an information embedding/tamper detecting apparatus and method  
5 capable of distinguishing between changes caused by image processing and intentional image tampering, and further capable of localizing, on a regional basis, a tampered position on an image.

A tamper-detection-information embedding apparatus (1)  
10 divides the image into a plurality of frequency bands to obtain transform coefficients, and then generates a pseudo-random number series by using key data, and further generates authentication data. The key data and the authentication data are embedded in the transform coefficients of MRA and MRR, respectively. the  
15 image in which the information is embedded is reconstructed by using MRA and MRR. A tamper-detecting apparatus (2) extracts the key data from MRA obtained by dividing the image into frequency bands and generates the authentication data assumed to have been embedded, and further extracts the embedded information from MRR.  
20 The image is divided in to a plurality of blocks composed of a predetermined number of pixels. For each block, an information series embedded in the transform coefficients of MRR representing the same spatial region as the unit block is compared, for verification, with the corresponding authentication data series  
25 so that the tamper is determined on a regional basis.

FIG. 1

TAMPER-DETECTION-INFORMATION  
EMBEDDING APPARATUS 1

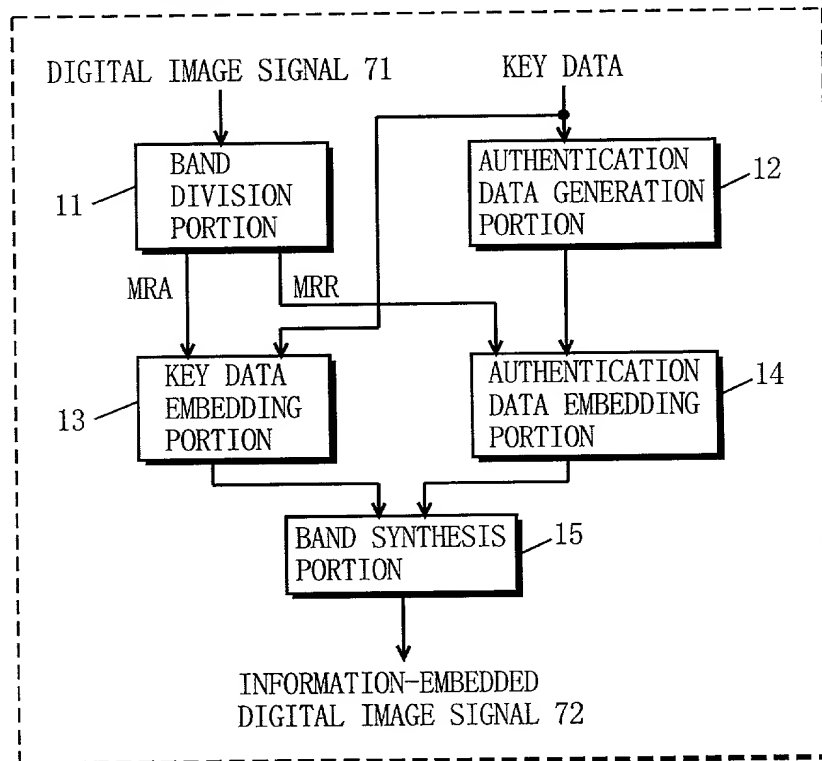


FIG. 2

TAMPER DETECTING APPARATUS 2

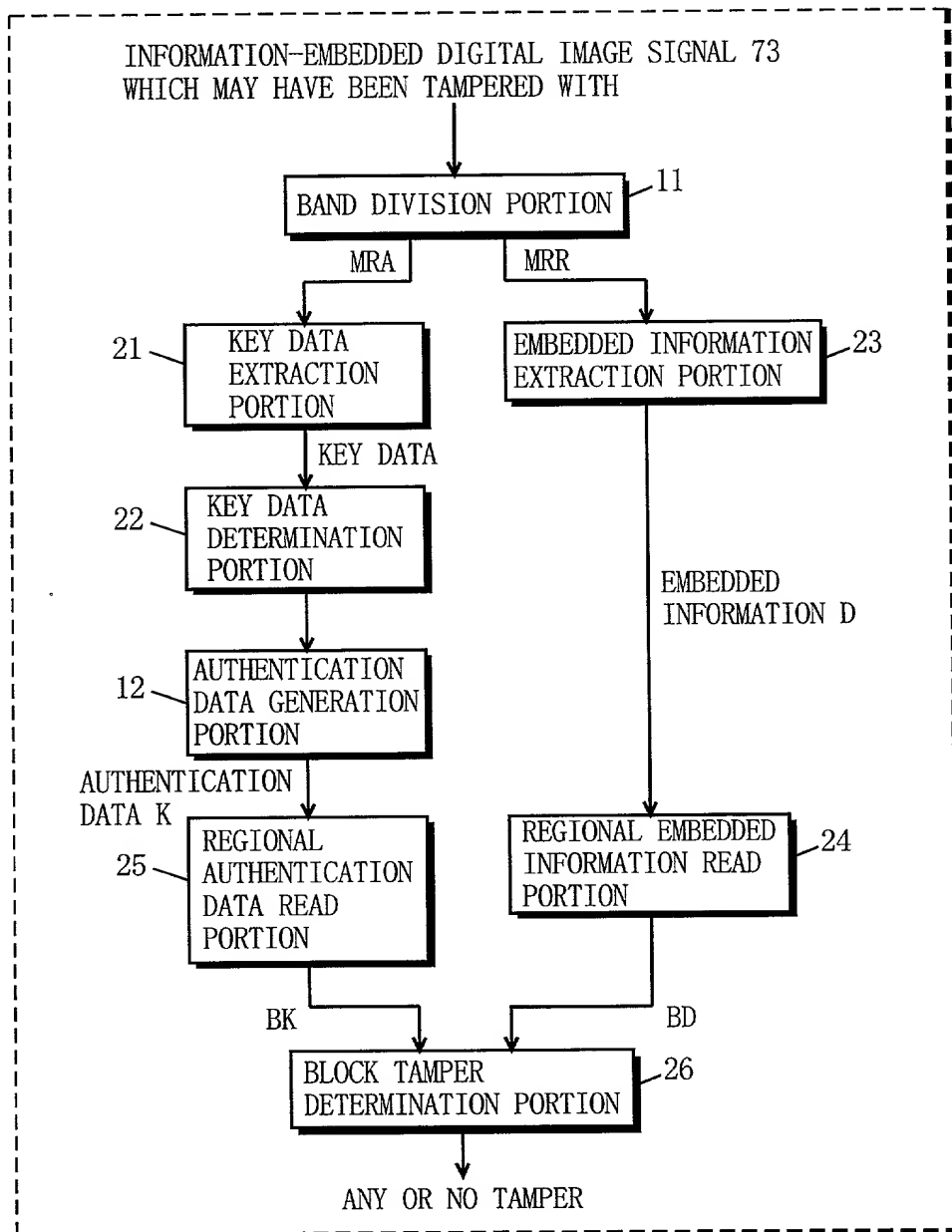


FIG. 3

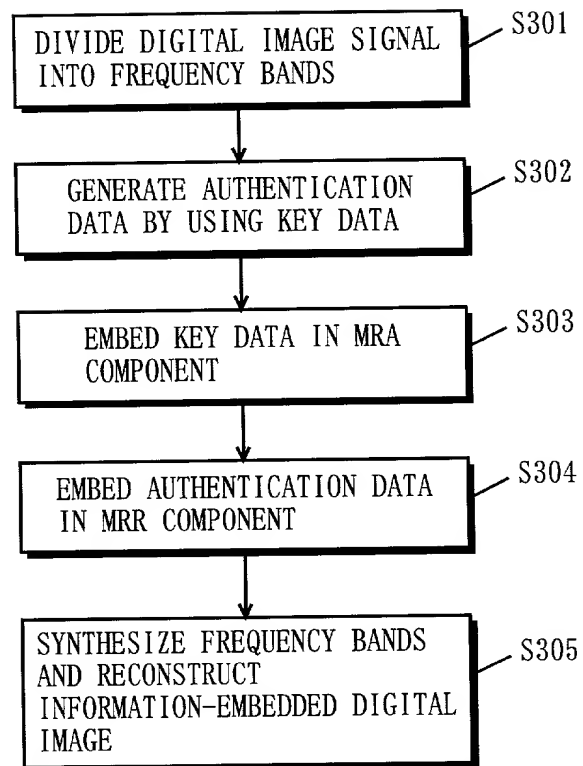


FIG. 4

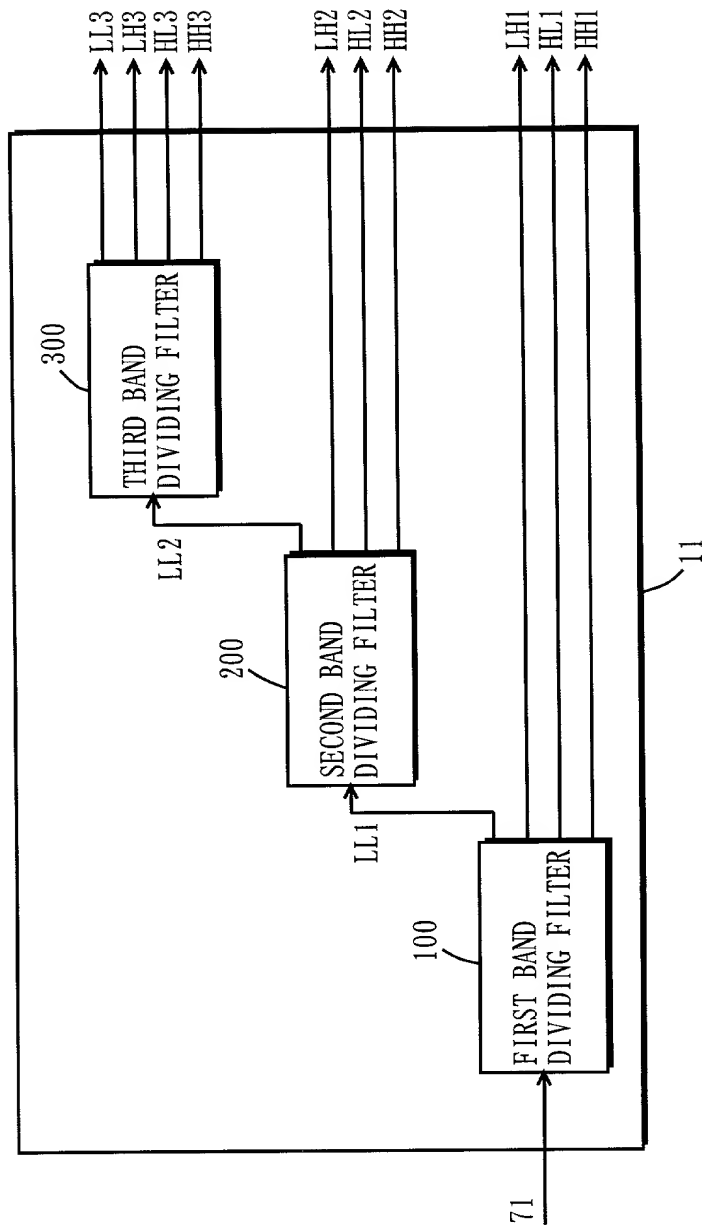
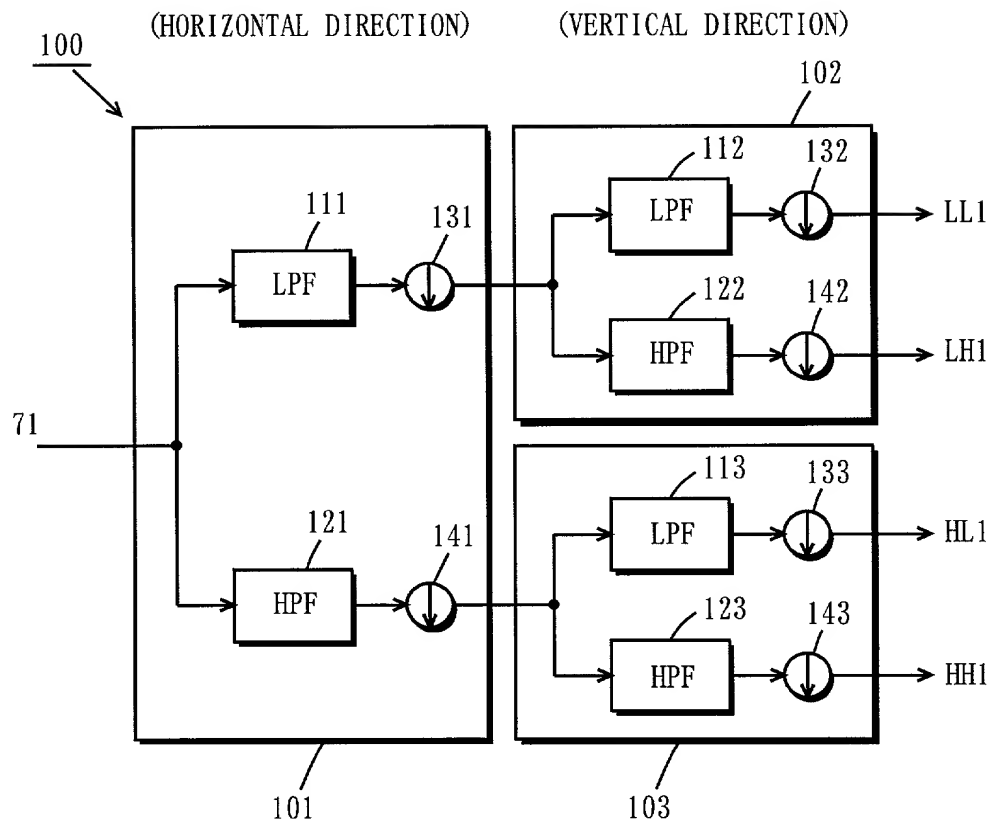


FIG. 5



F I G . 6

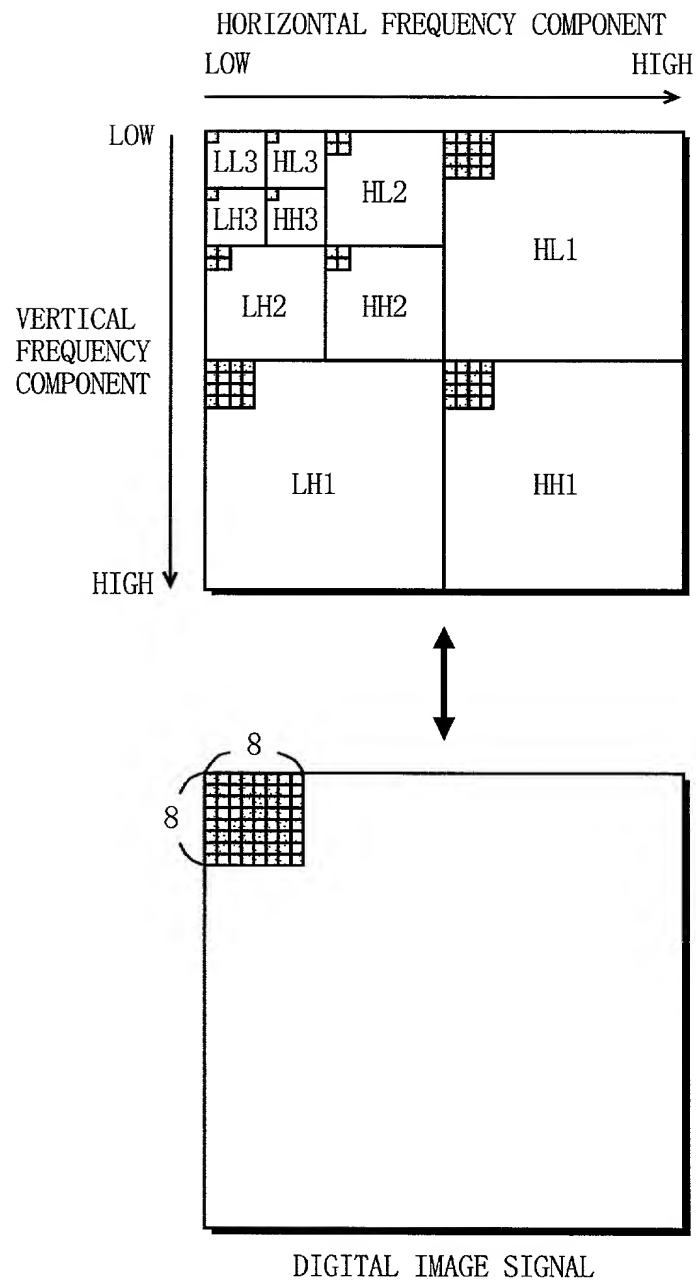




FIG. 7

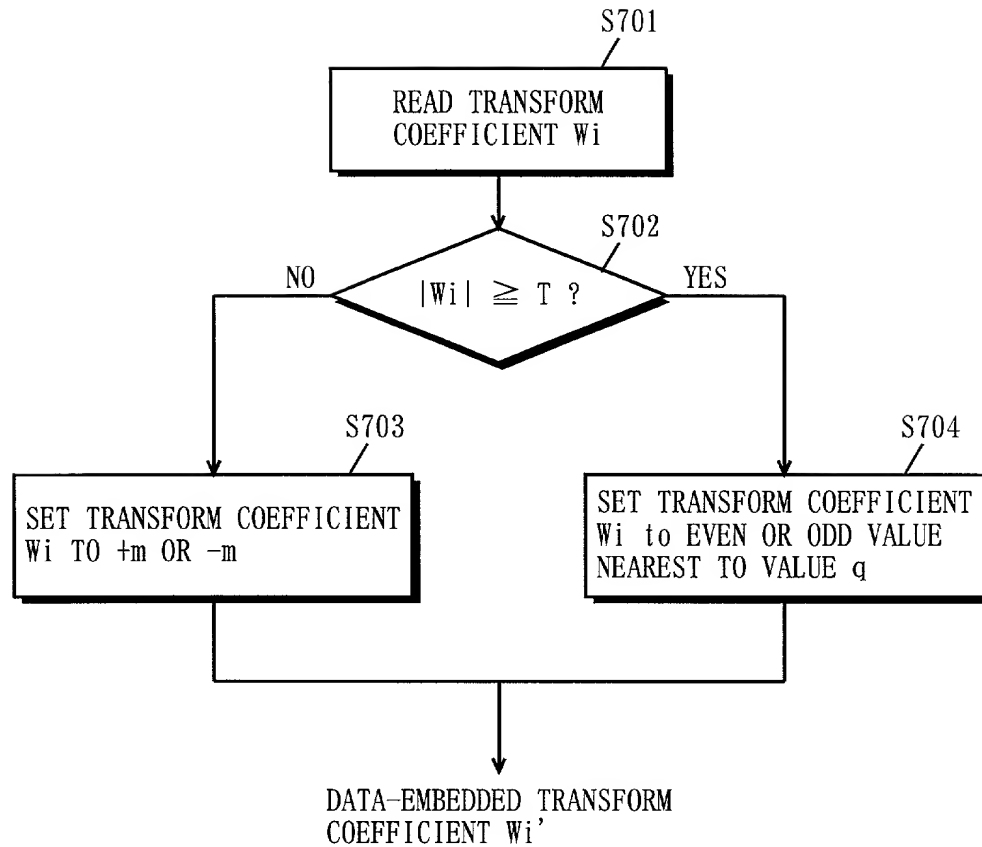


FIG. 8

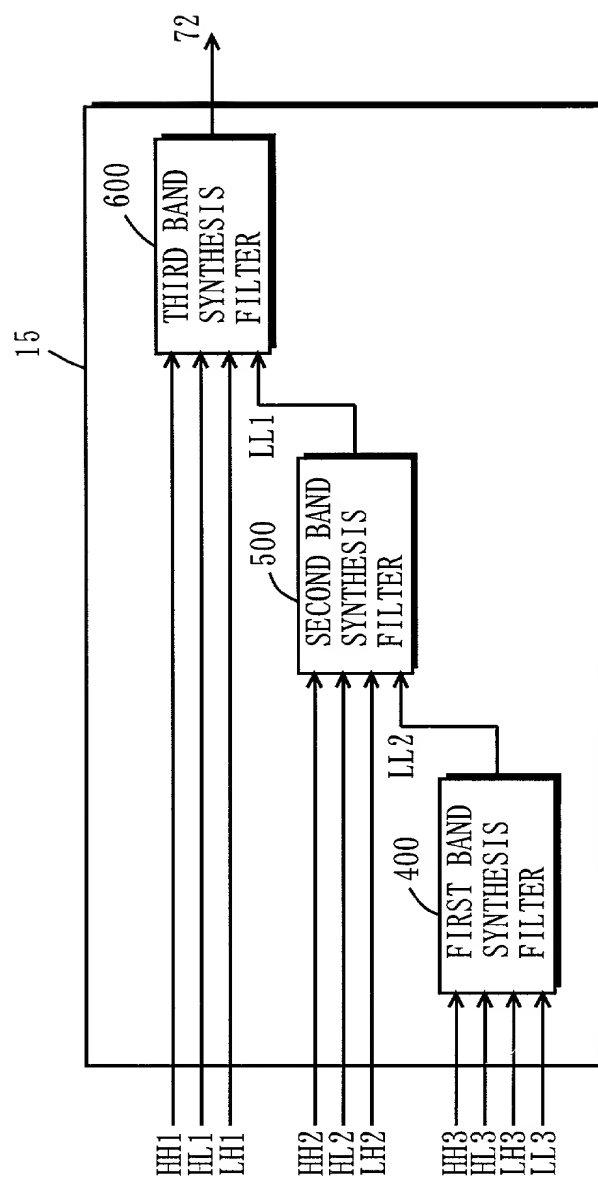


FIG. 9

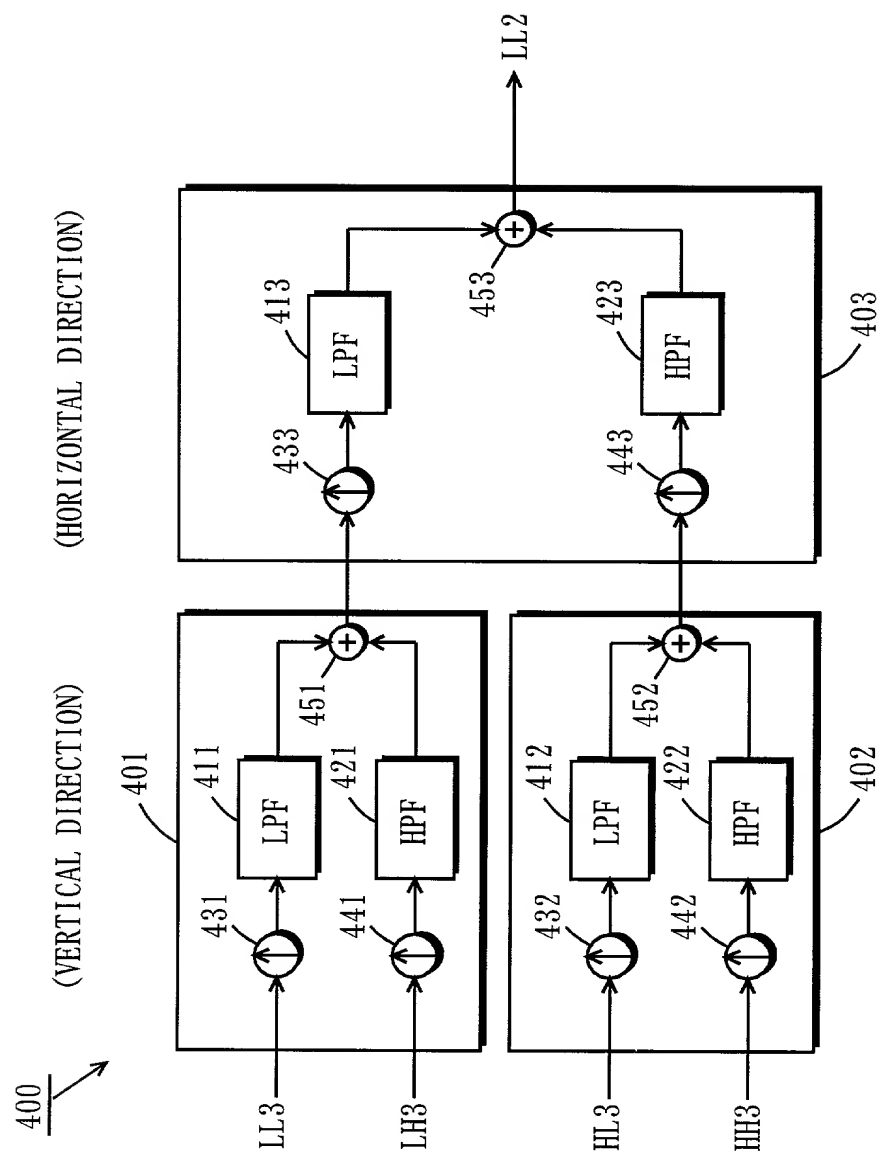


FIG. 10

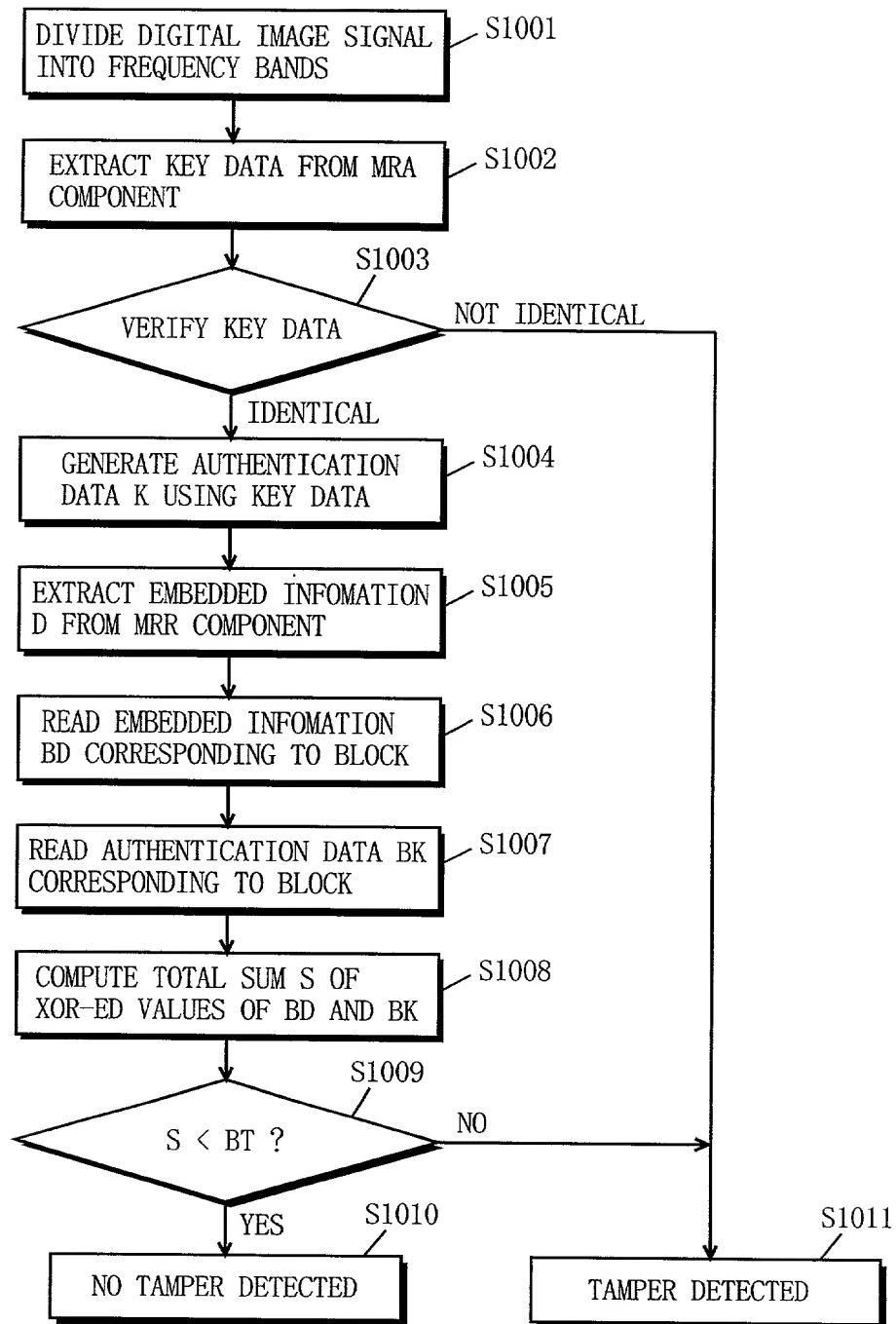


FIG. 11

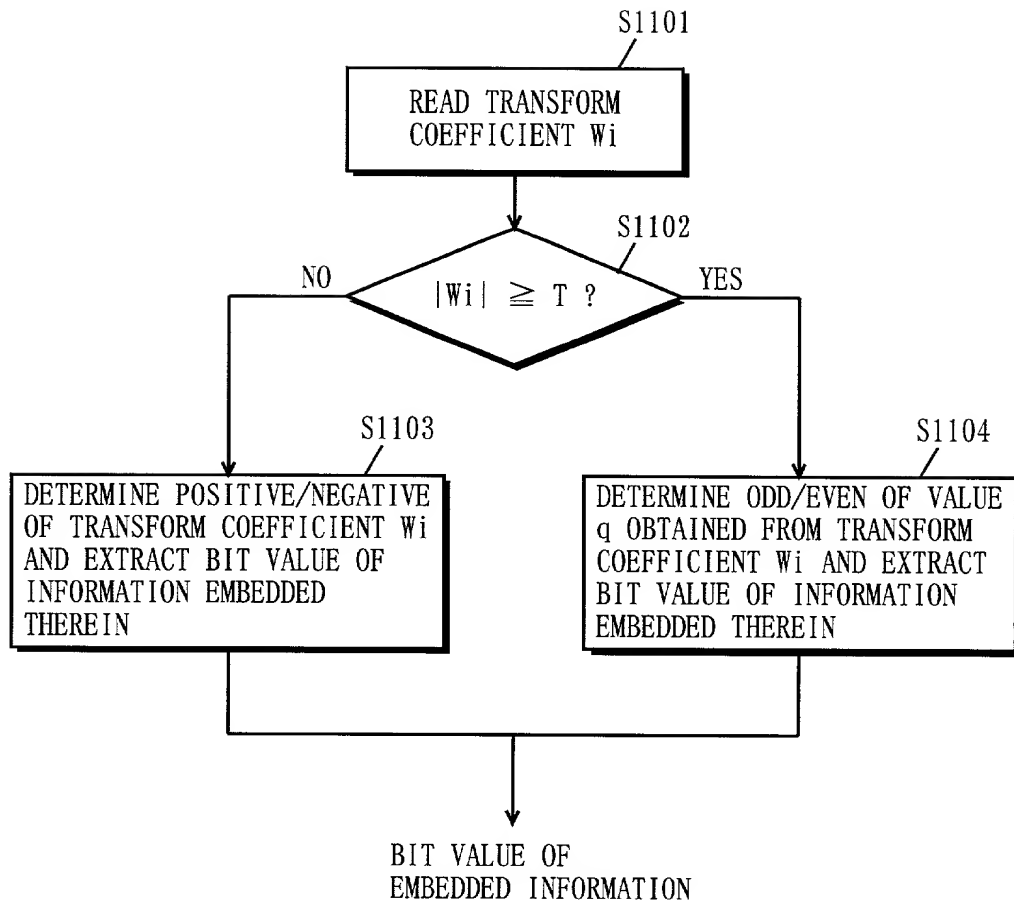
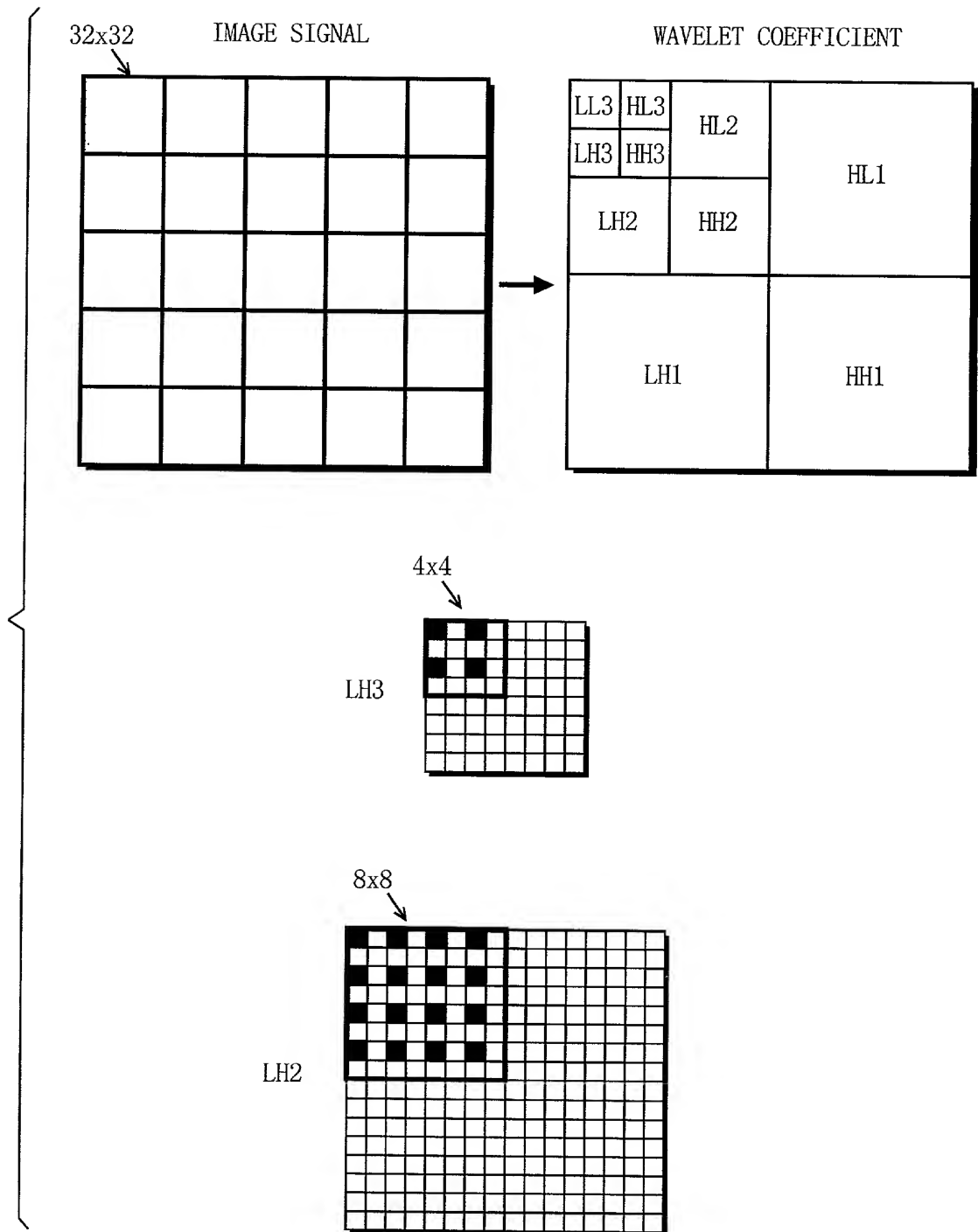


FIG. 12



# FIG. 13

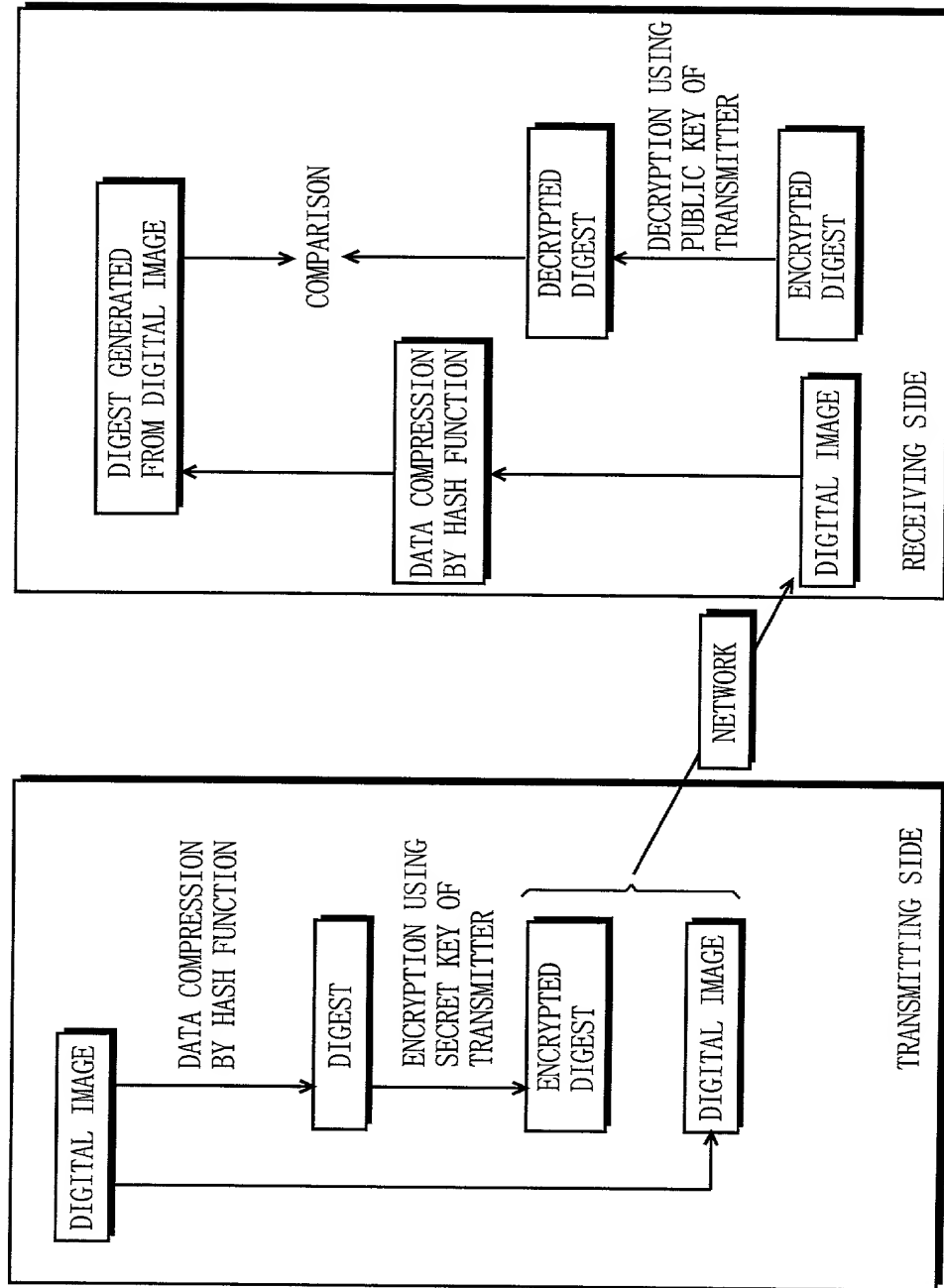
$$0 \times 0 = 0$$

$$0 \times 1 = 1$$

$$1 \times 0 = 1$$

$$1 \times 1 = 0$$

FIG. 14 PRIOR ART





**DECLARATION AND POWER OF ATTORNEY FOR U.S. PATENT APPLICATION**

(X) Original ( ) Supplemental ( ) Substitute ( ) PCT ( ) Design

As a below named inventor, I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; that I verily believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Title: APPARATUS AND METHOD FOR EMBEDDING INFORMATION FOR TAMPER DETECTION AND DETECTING TAMPER AND RECORDING MEDIUM HAVING PROGRAM FOR CARRYING OUT THE METHOD RECORDED THEREON

of which is described and claimed in:

- (X) the attached specification, or  
 ( ) the specification in the application Serial No. \_\_\_\_\_ filed \_\_\_\_\_;  
 and with amendments through \_\_\_\_\_ (if applicable), or  
 ( ) the specification in International Application No. PCT/ \_\_\_\_\_, filed \_\_\_\_\_, and as amended  
 on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the content of the above-identified specification, including the claims, as amended by any amendment(s) referred to above.

I acknowledge my duty to disclose to the Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

I hereby claim priority benefits under Title 35, United States Code, §119 (and §172 if this application is for a Design) of any application(s) for patent or inventor's certificate listed below and have also identified below any application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

COUNTRY	APPLICATION NO.	DATE OF FILING	PRIORITY CLAIMED
Japan	333775/1999	November 25, 1999	Yes

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

APPLICATION SERIAL NO.	U.S. FILING DATE	STATUS: PATENTED, PENDING, ABANDONED

And I hereby appoint John T. Miller, Reg. No. 21,120; Michael R. Davis, Reg. No. 25,134; Matthew M. Jacob, Reg. No. 25,154; Jeffrey Nolton, Reg. No. 25,408; Warren M. Cheek, Jr., Reg. No. 33,367; Nils E. Pedersen, Reg. No. 33,145 and Charles R. Watts, Reg. No. 33,142, who together constitute the firm of WENDEROTH, LIND & PONACK, L.L.P., attorneys to prosecute this application and to transact all business in the U.S. Patent and Trademark Office connected therewith.

I hereby authorize the U.S. attorneys named herein to accept and follow instructions from OGASAWARA PATENT OFFICE as to any action to be taken in the U.S. Patent and Trademark Office regarding this application without direct communication between the U.S. attorneys and myself. In the event of a change in the persons from whom instructions may be taken, the U.S. attorneys named herein will be so notified by me.

Send Correspondence to

WENDEROTH, LIND & PONACK, L.L.P.  
2033 K Street, N.W., Suite 800  
Washington, DC 20006

Direct Telephone Calls to:

WENDEROTH, LIND & PONACK, L.L.P.  
Area Code (202) 721-8200

Direct Facsimile Messages to:

Area Code (202) 721-8250

<b>Full Name of First Inventor</b>	<b>FAMILY NAME</b> INOUE	<b>FIRST GIVEN NAME</b> Hisashi	<b>SECOND GIVEN NAME</b>
<b>Residence &amp; Citizenship</b>	<b>CITY</b> Fukuoka	<b>STATE OR COUNTRY</b> Japan	<b>COUNTRY OF CITIZENSHIP</b> Japan
<b>Post Office Address</b>	<b>ADDRESS</b> 4-1-7-403, Kashiihama, Higashi-ku, Fukuoka-shi, Fukuoka-ken, Japan	<b>CITY</b>	<b>STATE OR COUNTRY</b> <b>ZIP CODE</b> 813-0016
<b>Full Name of Second Inventor</b>	<b>FAMILY NAME</b> KATSURA	<b>FIRST GIVEN NAME</b> Takashi	<b>SECOND GIVEN NAME</b>
<b>Residence &amp; Citizenship</b>	<b>CITY</b> Fukuoka	<b>STATE OR COUNTRY</b> Japan	<b>COUNTRY OF CITIZENSHIP</b> Japan
<b>Post Office Address</b>	<b>ADDRESS</b> 6-39-902, Kuromon, Chuo-ku, Fukuoka-shi, Fukuoka-ken, Japan	<b>CITY</b>	<b>STATE OR COUNTRY</b> <b>ZIP CODE</b> 810-0055
<b>Full Name of Third Inventor</b>	<b>FAMILY NAME</b>	<b>FIRST GIVEN NAME</b>	<b>SECOND GIVEN NAME</b>
<b>Residence &amp; Citizenship</b>	<b>CITY</b>	<b>STATE OR COUNTRY</b>	<b>COUNTRY OF CITIZENSHIP</b>
<b>Post Office Address</b>	<b>ADDRESS</b>	<b>CITY</b>	<b>STATE OR COUNTRY</b> <b>ZIP CODE</b>
<b>Full Name of Fourth Inventor</b>	<b>FAMILY NAME</b>	<b>FIRST GIVEN NAME</b>	<b>SECOND GIVEN NAME</b>
<b>Residence &amp; Citizenship</b>	<b>CITY</b>	<b>STATE OR COUNTRY</b>	<b>COUNTRY OF CITIZENSHIP</b>
<b>Post Office Address</b>	<b>ADDRESS</b>	<b>CITY</b>	<b>STATE OR COUNTRY</b> <b>ZIP CODE</b>

<b>Full Name of Fifth Inventor</b>	<b>FAMILY NAME</b>	<b>FIRST GIVEN NAME</b>	<b>SECOND GIVEN NAME</b>
<b>Residence &amp; Citizenship</b>	<b>CITY</b>	<b>STATE OR COUNTRY</b>	<b>COUNTRY OF CITIZENSHIP</b>
<b>Post Office Address</b>	<b>ADDRESS</b>	<b>CITY</b>	<b>STATE OR COUNTRY</b> <b>ZIP CODE</b>
<b>Full Name of Sixth Inventor</b>	<b>FAMILY NAME</b>	<b>FIRST GIVEN NAME</b>	<b>SECOND GIVEN NAME</b>
<b>Residence &amp; Citizenship</b>	<b>CITY</b>	<b>STATE OR COUNTRY</b>	<b>COUNTRY OF CITIZENSHIP</b>
<b>Post Office Address</b>	<b>ADDRESS</b>	<b>CITY</b>	<b>STATE OR COUNTRY</b> <b>ZIP CODE</b>
<b>Full Name of Seventh Inventor</b>	<b>FAMILY NAME</b>	<b>FIRST GIVEN NAME</b>	<b>SECOND GIVEN NAME</b>
<b>Residence &amp; Citizenship</b>	<b>CITY</b>	<b>STATE OR COUNTRY</b>	<b>COUNTRY OF CITIZENSHIP</b>
<b>Post Office Address</b>	<b>ADDRESS</b>	<b>CITY</b>	<b>STATE OR COUNTRY</b> <b>ZIP CODE</b>

I further declare that all statements made herein of my own knowledge are true, and that all statements on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

1st Inventor Hisashi Inoue Date October 13, 2000  
Hisashi INOUE  
2nd Inventor Takashi Katsura Date October 13, 2000  
Takashi KATSURA  
3rd Inventor \_\_\_\_\_ Date \_\_\_\_\_  
4th Inventor \_\_\_\_\_ Date \_\_\_\_\_  
5th Inventor \_\_\_\_\_ Date \_\_\_\_\_  
6th Inventor \_\_\_\_\_ Date \_\_\_\_\_  
7th Inventor \_\_\_\_\_ Date \_\_\_\_\_

The above application may be more particularly identified as follows:

U.S. Application Serial No. \_\_\_\_\_ Filing Date \_\_\_\_\_  
Applicant Reference Number FP-0670 Atty Docket No. \_\_\_\_\_  
Title of Invention APPARATUS AND METHOD FOR EMBEDDING INFORMATION FOR TAMPER DETECTION AND  
DETECTING TAMPER AND RECORDING MEDIUM HAVING PROGRAM FOR CARRYING OUT THE METHOD  
RECORDED THEREON